

INTRODUCTION TO SPECIAL RELATIVITY

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1 Introduction

In physics as well as in daily life, one is often forced to view the same phenomenon from the point of view of different observers. When these observers are in some sense comparable, for instance when they speak the same language and share the same cultural background, use comparable methods for measurements and have the necessary skills so that they can communicate and exchange the results of their observations in a meaningful way, there should be no major problems. In physics one often thinks in terms of ideal observers. We specify whether the observer is at rest or not, what his position is and what kind of signals he can observe. When discussing an actual experiment, we have to address the accuracy of the measurement and we usually quote the results of a measurement by specifying their degree of accuracy.

However, matters tend to become more subtle when the observers are in less comparable situations. Often we are used to this fact and adapt to it more or less automatically. When we view the world from a moving train, we know that the world outside is not really moving and blame the apparent motion of the outside world on the movement of the train. When we hear the frequency shift in the siren of a passing fire truck, we know that the driver does not change the frequency just to acknowledge that he is overtaking us. When we drop a stone from a driving car, we know that it can seriously hurt an innocent bystander, as the stone will inherit its motion from the car.

When we don't know these things from experience, then it becomes a different matter. When living in a space shuttle, one quickly finds out that earthly experience is not of much use and one has to adapt to a different world with different values. Of course, one may try to extrapolate from known situations, but whether or not this is very helpful remains to be seen.

In these lectures we shall consider physical phenomena from the viewpoint of different unaccelerated observers moving at a *constant* relative velocity. In principle we know very well how to compare the results of the measurements from the two observers. Of course, the two observers will never agree on the position and the velocity of an object that they both observe, but we can give a precise translation between the sets of measurements provided by the two. In classical mechanics this translation is provided by the Galilei transformation, which we now discuss.

Consider an *event* viewed by two observers who move at *constant* relative velocity $\vec{v}$. An event is an instantaneous phenomenon characterized by the position and the time that it occurred. Both observers, call them $\mathcal{O}$ and $\mathcal{O}'$, have their own set of “values”: they have their own clock and have set up their own coordinate system, denoted by $\mathcal{S}$ and $\mathcal{S}'$, respectively, which they can use to indicate when and where an event takes place. Before comparing the values of the measurements of the two observers one must make some suitable calibration. The observers should for instance agree that they measure time in seconds and lengths in meters. Furthermore they should at some point compare the reading of their clocks and measure their relative position. To facilitate matters we assume that the two observers have met and used that opportunity to synchronize their clocks. More specifically, let us assume that the observers synchronized their clocks at $t = t' = 0$.

At that moment they were, just for an instant, at the same position. Both observers have set up their own coordinate system such that they are located at its origin. Furthermore they took a quick look at the distant stars at $t = t' = 0$, and agreed to direct the axes of their coordinate frames in the same way. In other words, the angular orientation of the coordinate frames $\mathcal{S}$ and $\mathcal{S}'$ is the same.

After all this calibration we expect that measurements of the two observers of a certain event are related as follows. When the first observer measures the event at position $\vec{r}$ and time t , and the second observer at position $\vec{r}'$ and time t' , then these coordinates and times should be related by

$$\vec{r}' = \vec{r} - \vec{v}t, \quad t' = t, \quad (1.1)$$

where $\vec{v}$ is the constant velocity of the observer $\mathcal{O}'$ as seen by $\mathcal{O}$. Of course, according to $\mathcal{O}'$, the observer $\mathcal{O}$ moves with velocity $-\vec{v}$ (as is obvious from the inverse of the relation (1.1), which gives $\vec{r} = \vec{r}' + \vec{v}t'$).

The above relation (1.1) is called a Galilei transformation. From it we can derive that the velocity of some object, as measured by the two observers, will differ by their relative velocity $\vec{v}$. To see this, assume that the two observers make each two measurements of the object at time $t_1 = t'_1$ and $t_2 = t'_2$, separated by a time increment $\Delta t = \Delta t' = t_2 - t_1$. The first observer locates the object at $\vec{r}_1$ and $\vec{r}_2$ at times t_1 and t_2 , respectively, while the second observer measures the positions $\vec{r}'_1$ and $\vec{r}'_2$. According to (1.1) the results of these measurements are related by

$$\vec{r}'_1 = \vec{r}_1 - \vec{v}t_1, \quad \vec{r}'_2 = \vec{r}_2 - \vec{v}t_2. \quad (1.2)$$

The displacement during time interval Δt as measured by the two observers is thus given by

$$\Delta \vec{r}' = \Delta \vec{r} - \vec{v} \Delta t. \quad (1.3)$$

Therefore the velocity of the object measured by the two observers (defined by $\vec{u}' = \Delta \vec{r}' / \Delta t'$ and $\vec{u} = \Delta \vec{r} / \Delta t$ in the limit of vanishing Δt) satisfies

$$\vec{u}'(t') = \vec{u}(t) - \vec{v}, \quad t = t'. \quad (1.4)$$

This formula expresses the simple fact that the velocities can be added: to obtain the velocity measured in one frame in terms of that measured in another frame, one simply adds or subtracts the relative velocity between the two frames. This is something we take for granted in ordinary life. When walking with a velocity of 5 km/h in a train moving at a speed of 100 km/h, we know that our velocity with respect to an observer outside is equal to 105 or 95 km/h, depending on whether we walk into or opposite to the direction of motion of the train.

In more abstract terms, the orbit of a moving object is described by a function of the time t (or rather three functions, specifying the values of each of the three coordinates of the object). In $\mathcal{S}'$ the orbit is described by the function $\vec{r}'(t')$ and in $\mathcal{S}$ by $\vec{r}(t)$. The velocity in the two frames is obtained by differentiating these functions with respect to t , or equivalently to t' . Using (1.1) this leads directly to (1.4).

Exercise 1.1: Vectors and scalars – Here and henceforth we make use of a vector notation. Vectors define a direction (here in a three-dimensional space) and a length. They are usually specified in terms of the components defined by the projections onto a Cartesian coordinate system consisting of three mutually orthogonal axes. Hence vectors can be specified as three-dimensional arrays. One can take linear combinations of vectors and there is a so-called inner product; for two vectors, $\vec{p}$ and $\vec{q}$, the inner product is defined by $\vec{p} \cdot \vec{q} = p_x q_x + p_y q_y + p_z q_z$. Prove that the square of the length of a vector is equal to the inner product of the vector with itself, while the inner product of two vectors is equal to the product of their lengths times the cosine of the angle between them. Argue that the inner product is invariant under uniform rotations of the vectors (or, equivalently, under rotations of the coordinate frame). Quantities that are insensitive to rotations (or, in other words, that remain invariant under rotations) are often called *scalars*.

Following the same reasoning, we can now also determine the acceleration $\vec{a}(t) = d\vec{u}(t)/dt$ as measured by the two observers. Because $\vec{v}$, the relative velocity of the two observers was *constant* (i.e. time independent) the change of the velocity per unit of time must be the same for both observers. Indeed, differentiating (1.4) with respect to t gives

$$\vec{a}'(t') = \vec{a}(t), \quad t = t'. \quad (1.5)$$

However, when the accelerations are the same in both frames, also the force applied to the object must be the same according to the two observers, in view of Newton's second law, $\vec{F} = m \vec{a}$. Hence we conclude that

$$\vec{F}'(t') = \vec{F}(t), \quad t = t'. \quad (1.6)$$

Here we implicitly assumed that the observers themselves move *uniformly* in the sense that they are not themselves accelerated. This means that Newton's first law is valid in both $\mathcal{S}$ and $\mathcal{S}'$: objects that are not accelerated with respect to either one of the observers are not subject to a force. Frames that satisfy this condition are called *inertial frames*. Obviously, two inertial frames can only move at *constant* relative velocity. Of course, in many practical situations frames attached to the earth can be considered as inertial, but this is only an approximation as the earth itself rotates. A better approximation is to choose a frame directed to certain distant stars. However, these practical difficulties will not concern us here.

The fact that the time is the same for both observers is an essential ingredient in deriving these relatively simple relations. Time is an absolute quantity in the sense that it can be specified without making reference to a particular frame. The laws of classical mechanics are *invariant* under Galilei transformations. We did not really derive this, as we simply assumed that Newton's second law remained valid for both observers. In this way we concluded that forces are the same to both observers as stated in (1.6). By invariant we simply mean that the various quantities measured in an inertial frame always satisfy the same equations of classical mechanics. This property is often formulated in terms of the so-called *relativity postulate*. According to this postulate, there is no preferential (inertial)

frame in the sense that an observer will not be able to decide on the basis of his own measurements whether he is at rest or not. Two observers moving at constant relative velocity are completely equivalent. They can decide on the basis of their measurements that they move relative to some other observer, but not that they are at rest in an absolute sense. In other words, there is *no absolute reference system*.

Exercise 1.2: *Quantities conserved under Galilei transformations* – Show that the kinetic energy of a particle of mass m transforms under a Galilei transformation (1.4) as

$$E \rightarrow E' = E - \vec{v} \cdot \vec{p} + \frac{1}{2} m v^2,$$

where $\vec{p} = m \vec{u}$ is the momentum of the particle. Consider two particles with masses m_1 and m_2 and momenta $\vec{p}_1$ and $\vec{p}_2$, respectively, which collide and produce two particles of mass m_3 and m_4 and momenta $\vec{p}_3$ and $\vec{p}_4$. In a given inertial frame, determine the incoming energy $E_1 + E_2$ and the outgoing energy $E_3 + E_4$. We now assume that energy is preserved in this process, *i.e.* that $E_1 + E_2 = E_3 + E_4$. Examine now whether this holds in another inertial frame by applying a Galilei transformation. Derive that the answer is affirmative provided both the total momentum *and* the total mass is conserved in the process. Verify that the latter two conditions are themselves consistent with the Galilei transformations. As we shall see later momentum and energy conservation continue to hold in the theory of special relativity. However, the mass will *no longer* be conserved! In section 13 we explain what the concept of mass means in special relativity.

It is important to point out that there are situations in physics which are quite different in this respect, where there does exist an obvious reference system. One such situation is the propagation of sound waves. Sound waves propagate through a material medium, usually air, and one can for instance compare sound waves emitted by a moving source to those emitted by a source at rest. Here the transmitting medium *defines* a natural reference system, and the observed effects depend on the motion of the source and the observer relative to the medium. This leads for instance to the so-called Doppler effect. In view of what follows later, let us briefly exhibit this effect in two different situations. Assume that the waves propagate through a medium with velocity v_s , so that the frequency ν and the wave length λ of a wave emitted by the source at rest are related by $\nu \lambda = v_s$. When the source is receding with velocity v from an observer who is at rest with respect to the medium, the observed frequency ν' and wave length λ' can be expressed in terms of ν and λ . The result reads

$$\nu' = \frac{\nu}{1 + v/v_s}, \quad \lambda' = \lambda(1 + v/v_s). \quad (1.7)$$

Observe that $\nu' \lambda' = v_s$. On the other hand when the observer is receding with velocity v from a source which is at rest with respect to the medium, then the expressions for the observed frequency and wave length are rather different and given by

$$\nu' = \nu(1 - v/v_s), \quad \lambda' = \lambda. \quad (1.8)$$

In both cases the observed frequency is reduced in size, albeit at a different rate. Note that in the second case the product of the observed frequency and wave length is not equal to v_s , but to $v_s - v$, which is the sound velocity as measured by the moving observer.

The relevance of a natural reference system linked to the medium that transmits the waves is rather obvious. Light waves are rather different in this respect. Because they can travel through vacuum, their transmission does not seem to depend on the presence of some material medium. Indeed, light is electromagnetic radiation and it is thus governed by Maxwell's laws of electromagnetism, rather than by Newton's laws of classical mechanics. The consequence of this fact was hard to comprehend by physicists in the nineteenth century, who tried in vain to interpret light as a consequence of oscillations in some unobservable material medium, called aether. However, it has been established by many experiments that light behaves quite differently. For instance, experiments failed to show the aether drift caused by the earth when moving through the aether. Historically the most famous experiments were performed by Michelson and Morley¹, but later many other experiments were done, for instance, by employing modern accelerators in which elementary particles are accelerated to velocities comparable to the velocity of light. All experimental observations confirmed that the velocity of light (in vacuum) is the same in any inertial reference frame and equal to² 299 792 458 m/s. This result indicates that an absolute reference system does not exist and that light is in fact not a material phenomenon, i.e. that it is not a manifestation of the properties of some material medium.

Accepting this fact, surprising as it may be – after all, it violates the addition rule for velocities (1.4) which so perfectly agrees with what we experience for material objects in daily life – it seems that the rules based on Galilei transformations do simply not apply to light. This fact can be taken as evidence that these transformation rules are in fact not relevant for electromagnetic phenomena. The purpose of the subsequent discussion is to demonstrate that this is indeed the case.

Consider two charged bodies with charges q_1 and q_2 at rest and separated by a distance r . According to Coulomb's law, the force between these two particles is equal to

$$F_{\text{el}} = -\frac{q_1 q_2}{4\pi\epsilon_0 r^2}, \quad (1.9)$$

where ϵ_0 is a constant that denotes the so-called permittivity in the vacuum (which depends on our choice of units and is not important for what follows). When the charges are of equal sign this electrostatic force is repulsive, and for this reason we added the minus sign.

Now take the same system of two charges but now viewed by an observer who moves at a constant velocity v in a direction perpendicular to the line connecting the two charged bodies (see figure 1). Again we expect that there is an electrostatic force, given by (1.9). However, according to the second observer, the two charges are not at rest so that they will induce a magnetic field. According to the Biot-Savart law the moving charge q_1 induces a

¹A.A. Michelson and E.W. Morley, Am. J. Sci **34** (1887) 333.

²Nowadays this number is used to *define* the meter, so that it is *exact* !

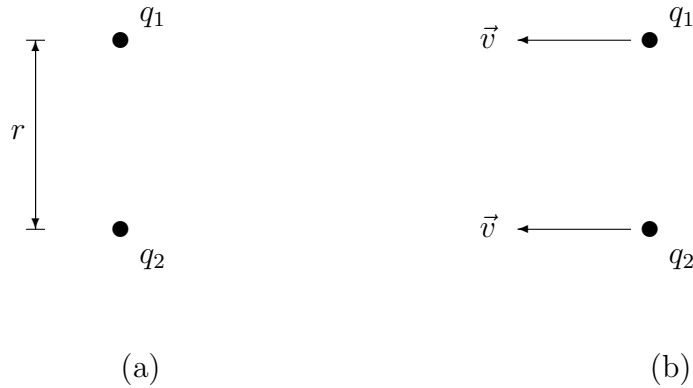


Figure 1: Two charged bodies with charges q_1 and q_2 , separated by a distance r , viewed by an observer at rest (a) or in motion (b) with respect to these charges.

magnetic field at the location of the second charge q_2 , equal to

$$B = \frac{\mu_0}{4\pi} \frac{q_1 v}{r^2}. \quad (1.10)$$

Here μ_0 is the so-called permeability in vacuum, related to the permittivity by $\varepsilon_0 \mu_0 = c^{-2}$. Due to the magnetic field B the second charge experiences a force (the so-called Lorentz force) directed along the electrostatic force and in size equal to

$$F'_{\text{mag}} = q_2 v B = \frac{\mu_0}{4\pi} \frac{q_1 q_2 v^2}{r^2} = \frac{v^2}{c^2} \frac{q_1 q_2}{4\pi \varepsilon_0 r^2}, \quad (1.11)$$

which is attractive for like-sign charges.

Now we are facing an obvious dilemma. According to the moving observer there is a magnetic force, which is absent according to the observer at rest. Hence, the result (1.6) that the force should be the same for two inertial observers, does not hold for magnetic forces separately and neither does it hold for the combined electromagnetic force, as the total force seen by the second observer is equal to

$$F'_{\text{total}} = F'_{\text{el}} + F'_{\text{mag}} = \left(1 - \frac{v^2}{c^2}\right) F_{\text{total}}. \quad (1.12)$$

So it appears that there is an error somewhere in this derivation. One possibility is that Newton's laws are after all not invariant when converted from one inertial frame to another. An alternative possibility is that the mass or the charge is somehow changed, thus affecting the above results. Whatever the reason, it is clear that electric and magnetic forces are somehow converted into each other when changing from one inertial frame to another. This suggests the possibility that not the combined force should be invariant, but rather the modulus of the two forces, defined by $\sqrt{F_{\text{el}}^2 + F_{\text{mag}}^2}$. Although there is some truth behind this idea, as we shall see in the later part of these lectures³, it is not clear how to prove such

³A correct relativistic treatment gives $F'_{\text{total}} = \sqrt{1 - v^2/c^2} F_{\text{total}}$ for (1.12)

a result. At any rate the above results are in blatant disagreement with (1.6), so that our conclusion must be that there is a conflict between the description of classical mechanics based on Newton's laws and the description of electromagnetism based on Maxwell's laws. However, note that the discrepancy disappears for velocities small compared to the velocity of light.

Whatever the reason for this conflict, it is not very productive to try in an ad hoc manner to modify the physical principles involved and subsequently verify whether or not the modifications are supported by experiments. It is better to start anew and reconsider in a systematic way some of the starting points underlying classical mechanics and electromagnetism. The strategy that we will adopt for doing this will be outlined in the next section.

2 Towards a theory of special relativity

It is not our primary intention to derive the theory of special relativity from one or two fundamental principles, establishing the logical necessity for each separate step. Naturally we shall adopt a few basic principles to guide our attempts without insisting that the theory we are about to derive follows *uniquely* from the initial starting points. Once the complete theory has been set up, the reader will hopefully appreciate its beauty and consistency and should be willing to consider its experimental verification. We should perhaps stress again that there exists overwhelming experimental evidence that the special theory of relativity is indeed correct. It is true that the extrapolation to velocities comparable to the velocity of light leads to situations that are often strange and unusual when judged by criteria based on daily life. On the other hand, for velocities small compared to that of light, the results remain completely in agreement with what one normally experiences.

Following Einstein's original treatment⁴ we distinguish the following two basic principles:

- The *relativity postulate*. This postulate was already discussed in the previous section in the context of Newton's theory of classical mechanics. According to this principle there is no preferred inertial frame, and neither is there an absolute time. The reason that we will have to give up the idea of absolute time is related to the second postulate given below. As before, the relativity postulate implies that no inertial observer will be able to establish whether or not he or she is at rest. This implies that the physical laws must be invariant under the transformation from one inertial coordinate system to another (accompanied by an appropriate change – to be discussed in due time – of the time variable).
- The *light postulate*. This postulate is based on the experimental observations that the velocity of light in vacuum is *independent* of the inertial frame. Light propagates

⁴In his paper *Zur Elektrodynamik bewegter Körper*, Ann. der Physik **17** (1905); translated in *The principle of relativity*, p. 35, Dover 1952. For a thorough and informative account of Einstein's contribution to the theory of relativity, see, A. Pais, *Subtle is the Lord ...; The science and the life of Albert Einstein*, Oxford Univ. Press, 1982.

in vacuum with a fixed velocity c , irrespective of the velocity at which the source was moving when emitting the light.

It is clear that these postulates must lead to a theory that is different from Newton's theory of classical mechanics, but, as it turns out, the resulting theory remains fully consistent with Maxwell's theory of electromagnetism. Therefore we will proceed as follows. We shall first consider the relativistic laws of mechanics that follow from these postulates. This is done in Part I, consisting of sections 3–13. In this part mathematics is kept to a minimum. The central result is the Lorentz transformation, the relativistic analogue of (1.1), which relates the values of the coordinates and the time measured in two different inertial frames. Obviously, when the relative velocity of these two frames is small, we should recover the Galilei transformation (1.4). The most conspicuous feature of the Lorentz transformations is that the time is no longer invariant and will change from one inertial frame to another! This should not come as a surprise! By insisting that the velocity of light remains the same, the time must be affected when transforming from one frame to another. We will derive the quantitative relation by setting up a number of thought experiments, which allow us to deduce the new features. The Lorentz transformations apply also to the relativistic version of the momentum and energy of a particle, which are derived as well. A surprising consequence of these results is the existence of massless particles, which must travel at the speed of light. Part I closes with a discussion of the concept of mass in a relativistic context, leading up to Einstein's equivalence principle.

In Part II we consider various extensions of these results. We introduce the four-dimensional Minkowski space. More mathematical sophistication is required here and we make use of matrices and some elementary tensor analysis. After discussing various topics, such as the behaviour of charged point particles in electric and magnetic fields, we derive how electromagnetic fields change from one inertial frame to another. The corresponding transformations are obtained from the requirement that the Maxwell equations are invariant under the Lorentz transformations. In this way we verify that the conflict between mechanical and electromagnetic phenomena is indeed resolved in the special theory of relativity. We close by discussing the electromagnetic fields induced by a moving point charge.

PART I

3 Playing with light signals

We will now examine a number of situations in order to derive the implications of the light and the relativity postulate. Light signals will play an essential role, because we know that they travel at the same velocity c in any inertial frame.

Consider an observer who, at time $t = 0$, is passed by some vehicle, say a car. In order to determine the velocity of the car, the observer sends a light signal in the direction of the car at some later time $t = T$. A mirror mounted on the car reflects the signal back to the observer, who detects it at time $t = \alpha T$. From the measurement of the quantity α the observer can determine the velocity of the vehicle in the following way. Suppose the light signal is reflected by the car at time $t = t_r$ when the vehicle has moved a distance x_r away from the observer. Hence the vehicle travelled a distance x_r in a time interval t_r . Likewise the light signal travelled the same distance x_r , but in a shorter time interval equal to $t_r - T$. Therefore we have the relations

$$x_r = v t_r = c(t_r - T). \quad (3.1)$$

From this result we obtain the time of reflection (according to the observer at rest),

$$t_r = \frac{T}{1 - v/c}. \quad (3.2)$$

After reflection, the light signal travels the same distance back, but now in opposite direction, in order to reach the observer. Using the light postulate, according to which the velocity of light is always the same in every inertial frame, we know that the amount of time it takes the light signal to travel back from the car to the observer equals the amount of time it took the signal originally to travel from the observer to the car. Therefore we conclude that

$$\alpha T - t_r = t_r - T = \frac{1}{1 - v/c} \frac{v T}{c}, \quad (3.3)$$

where the last equation follows from substituting the result (3.2) for t_r . Combining (3.2) and (3.3) we obtain an expression for α ,

$$\alpha = \frac{1 + v/c}{1 - v/c}. \quad (3.4)$$

Hence, by measuring the ratio α and using the light postulate, the observer can determine the velocity of the passing vehicle.

Clearly, the crucial assumption in the above argument is that the light signal travels at the same velocity back and forth, so that its speed is not influenced by the velocity of the car. Of course, this is rather different from the situation one encounters in daily life for material objects. If we kick a soccer ball towards a moving car, then the ball is returned with a different velocity, which is larger or smaller depending on whether the car is moving towards us or away from us. When we repeat the above experiment, but now with a material projectile rather than a light signal, the projectile may return after

colliding with the car but with a velocity that is always smaller than its initial velocity. If we denote the latter again by c in order to facilitate comparison with a light signal, then standard Newtonian mechanics tells us that the velocity after reflection is at most equal to $-c + 2v$. We refer to exercise 3.1 for a derivation of this result. Because the velocity after the collision is smaller, the amount of time it takes for the projectile to return to its original position is always larger than the amount of time it took to reach the car. In fact it is possible that the projectile never returns to its original position and continues to move in the same direction as the car, but with a lower velocity.

Exercise 3.1: *Other than light signals* – In order to appreciate the difference when having a material object, rather than a light signal, colliding with the car, take a projectile of mass μ and a car of mass m . Denote the velocity of the projectile and the car before the collision by c and v , and after the collision by c' and v' , respectively. Momentum conservation then implies $\mu(c - c') = -m(v - v')$, and energy conservation (the collision is elastic) requires that $\mu(c^2 - c'^2) = -m(v^2 - v'^2)$. Prove that this leads to the following result for the velocity of the projectile c' after the collision,

$$c' = 2v - c + \frac{2\mu}{m + \mu}(c - v).$$

Therefore the projectile's velocity after the collision is at most equal to $2v - c$ rather than equal to $-c$ as for light signals. The maximal velocity is reached provided the collision with the car is elastic (we already assumed this) and the mass of the projectile is much less than that of the car.

Let us return to the experiment with the light signal and now view the same sequence of events from the point of view of another observer who is located inside the car. To distinguish the two points of view we denote the inertial frame in which the original observer is at rest and the car is moving by $\mathcal{S}$. The second frame in which the car is at rest will then be denoted by $\mathcal{S}'$. The two frames thus move at a constant relative velocity equal to v , and at time $t = 0$ the two observers are at the same place.

According to the observer located in the car a light signal is approaching him with velocity c , while the first observer who sent the light signal is moving away from him with velocity v . After reflection by the mirror the light signal has to travel a larger distance back to the first observer than the distance it travelled before the reflection, just because meanwhile the first observer has moved further away. Invoking the light postulate the second observer concludes that the time interval between the emission and the reflection of the signal must be shorter than the time interval between the reflection and the subsequent detection by the first observer. Hence we must accept the stunning conclusion that two time intervals which are equal when measured in the frame $\mathcal{S}$, are *not* equal when measured in the frame $\mathcal{S}'$. Although the two observers have synchronized clocks, their measurements will be in complete disagreement! In other words, the light postulate forces us to give up the idea that time can be defined in an absolute way, without making reference to a particular reference frame. However, if time intervals measured in different frames need not be the same, then we expect that distances do not need to be the same either when measured in different frames. This is based on the fact that the velocity of light in different

inertial frames is the same, so that time measurements can be used to measure distances by means of light signals.

In the course of these lectures we will learn what the quantitative relation is between time and distance measurements done in different inertial frames. For the moment we will just proceed carefully, and always indicate explicitly how the time measurement is done. It is easy to evaluate at which times, according to the second observer, the various events take place. The second observer denotes the time and the position at which the light signal is emitted by x'_e and T' , and the time and position at which the light signal is again detected by the first observer after the reflection by x'_d and $\alpha' T'$. Note that we do not make reference to the measurements by the first observer, who is at rest in the inertial frame $\mathcal{S}$. If the clock of the second observer is synchronized such that at $t' = 0$ the two observers are at the same place, we derive the following relations

$$x'_e = T' v, \quad x'_d = \alpha' T' v. \quad (3.5)$$

The time t'_r at which the light signal is reflected by the mirror is subject to the relations

$$t'_r = T' + \frac{x'_e}{c}, \quad \alpha' T' = t'_r + \frac{x'_d}{c}. \quad (3.6)$$

Combining (3.5) and (3.6) then leads to

$$t'_r = (1 + v/c) T', \quad \text{and} \quad \alpha' = \frac{1 + v/c}{1 - v/c}. \quad (3.7)$$

Observe that although the result for the proportionality constants α and α' are the same in the two frames, we have obtained the above results entirely within the new frame $\mathcal{S}'$, without making reference to the previous frame $\mathcal{S}$. To see that the results in the two frames are also quantitatively different, let us give the expressions for the time intervals $t'_r - T'$ and $\alpha' T' - t'_r$, which follow directly from the above equation,

$$t'_r - T' = \frac{v T'}{c}, \quad \alpha' T' - t'_r = \frac{1 + v/c}{1 - v/c} \frac{v T'}{c}. \quad (3.8)$$

These time intervals are obviously *not* equal, and in no way resemble the corresponding relations (3.3) obtained in the frame $\mathcal{S}$.

It is convenient to depict the same sequence of events as viewed in two different frames in a so-called space-time diagram, in which the coordinates are x and ct (in $\mathcal{S}$) or x' and ct' (in $\mathcal{S}'$). We choose ct rather than t so that both coordinates have the dimension of length. In such a diagram light signals move under an angle of $\pm 45^\circ$ with the vertical axis. Also from the diagrams (cf. figure 2) it is obvious that the two time intervals are equal when viewed in $\mathcal{S}$, while they differ when viewed in $\mathcal{S}'$.

Let us now try to determine more quantitatively what the relation is between time measurements in two different frames. Again we make use of the same situation as above. A light signal is emitted by someone at rest in an inertial frame $\mathcal{S}$, reflected by some object

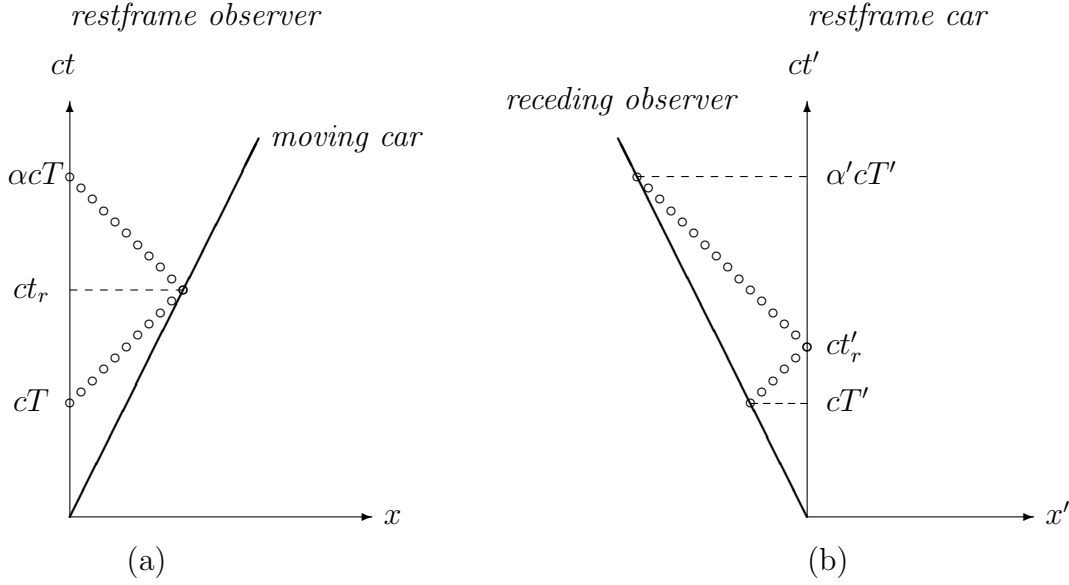


Figure 2: Space-time diagram of the emission, reflection and subsequent detection of a light signal viewed in the inertial frame where the signal is emitted and detected at rest (a) and in the inertial frame where the reflecting object is at rest (b).

moving with constant velocity v and again detected at the point of emission. In the second inertial frame $\mathcal{S}'$ the reflecting object is at rest. Both at the location of the light source and at the reflecting object there is a clock. These clocks are thus at rest in $\mathcal{S}$ and $\mathcal{S}'$, respectively. They were synchronized and started running at the moment that they were at the same place. Suppose now that the light signal is emitted at time t , as measured on the clock in $\mathcal{S}$, and arrives at the reflecting object at time t' , but now measured on the clock in $\mathcal{S}'$. The reflected signal, emitted at time t' as measured on the clock in $\mathcal{S}'$, finally arrives at the source of emission at time t'' , again according to the clock in $\mathcal{S}$. Now there must be some function, let us denote it by f , which gives you the time t' at which the light arrives at the reflecting object as a function of the time t at which the light signal was emitted. Hence we write

$$t' = f(t), \quad (3.9)$$

where we recall once more that t is measured on the clock in $\mathcal{S}$ while t' is measured on the clock in $\mathcal{S}'$. Obviously, f must be a monotonically rising function (i.e., $f'(t) > 0$) so that the causal sequence of events remains the same in each frame. Also, for $t = 0$, t and t' coincide, so that we must have $f(0) = 0$.

Now consider the reflected light signal. Again there must be a function that determines the return time of the light signal t'' as a function of the time t' that it was reflected. According to the relativity postulate this must be the same function f , as we cannot make a distinction between the two inertial frames $\mathcal{S}$ and $\mathcal{S}'$. Therefore we conclude that

$$t'' = f(t'). \quad (3.10)$$

On the other hand we found previously that t'' is proportional to t with proportionality constant α as given in (3.4). This shows that f must satisfy the following equation,

$$f(f(t)) = \alpha t. \quad (3.11)$$

One can prove⁵ that the solution of this equation is given by $f(t) = \pm\sqrt{\alpha}t$. In view of the fact that $f(t)$ must be monotonically rising, the solution is unique, so that the emission and the detection time of a light signal, measured by two receding observers moving at a relative velocity v , are related by

$$t'_{\text{detection}} = k(\beta) t_{\text{emission}}, \quad (3.12)$$

where we have defined

$$k(\beta) = \sqrt{\frac{1+\beta}{1-\beta}}, \text{ with } \beta \equiv \frac{v}{c}. \quad (3.13)$$

We should stress that t_{emission} and $t'_{\text{detection}}$ do *not* refer to the same physical event. The above equation gives the arrival time of a light signal $t'_{\text{detection}}$ measured by an observer who is receding with a constant velocity v from the source of emission, as a function of the emission time t_{emission} . Observe that v must necessarily be smaller than c in this situation in order for the light signal to catch up with the receding observer. Hence $k(\beta)$ is only defined for $|\beta| < 1$.

The factor (3.13) incorporates of course the time needed for the light signal to reach the receding observer. However, this does not fully account for the answer. To see this one may compare the above result to the result one obtains from a conventional nonrelativistic derivation. In that case we use the relation (3.2), which is valid in the frame $\mathcal{S}$. Nonrelativistically the time is the same for any observer, so one would derive

$$t'_{\text{detection}} = t_{\text{detection}} = \frac{t_{\text{emission}}}{1-\beta}, \quad (3.14)$$

which clearly deviates from the previous result (3.12). For small velocities (3.12) and (3.14) tend to coincide, as follows from the expansions

$$k(\beta) = 1 + \beta + \frac{1}{2}\beta^2 + \dots,$$

⁵In order to analyze the solutions of (3.11) we first apply the function f once more to the left- and the right-hand side of (3.11). This gives $f \circ f \circ f = \alpha f$, from which one derives that

$$f(\alpha t) = \alpha f(t). \quad (*)$$

For $\alpha = 0$ we find $f(t) = 0$, while for $\alpha \neq 0$, equation $(*)$ implies that $f'(\alpha t) = f'(t)$, so that $f'(t)$ must be constant, unless $|\alpha| = 1$ (we restrict ourselves to differentiable functions). For $\alpha \neq 1$ equation $(*)$ shows that $f(0) = 0$. Taking the derivative of (3.11) with respect to t yields

$$f'(f(t)) f'(t) = \alpha, \quad (**)$$

so that for $t = 0$ and $\alpha \neq 1$ equation $(**)$ reads $(f'(0))^2 = \alpha$. Therefore, for negative α there are no solutions differentiable at $t = 0$. Unless $\alpha = 1$ it thus follows that the only solutions differentiable at $t = 0$ are $f(t) = \pm\sqrt{\alpha}t$. For $\alpha = 1$ the analysis is more involved and there are many more solutions. With the exception of $f(t) = t$, none of them satisfies the requirement that $f(0) = 0$ and $f'(t) > 0$.

$$(1 - \beta)^{-1} = 1 + \beta + \beta^2 + \dots \quad (3.15)$$

The following two sections deal with the consequences of the result (3.12), and discuss its effect on measurements of time intervals in different inertial frames.

Exercise 3.1: Taylor expansions – The expansions (3.15) are examples of so-called Taylor expansions. First verify the correctness of the second equation of (3.15) by multiplying both sides with $(1 - \beta)$. Subsequently consider $\sqrt{1 + \beta} = 1 + \frac{1}{2}\beta - \frac{1}{8}\beta^2 + \frac{1}{16}\beta^3 + \dots$ and verify it's correctness by taking the square on both sides and comparing terms up to β^3 . Verify now the correctness of the first equation of (3.15).

4 The longitudinal Doppler shift

There is an obvious application of the relation (3.12) derived in the previous section. Because the relationship between t and t' is linear, periodic phenomena in one inertial frame remain periodic when measured in another frame that is receding with constant relative velocity $\vec{v}$. More precisely, if we emit a light pulse at every one of the periodically occurring events, then these pulses are also periodically received by an observer moving with constant relative velocity. When the time elapsed between two events is equal to Δt , then, according to (3.12), the time elapsed between two recorded light pulses in the second frame is equal to

$$\Delta t' = k(\beta) \Delta t, \quad (4.1)$$

The frequency ν' , which is the reciprocal of the time period as recorded in the receding frame, is thus related to the frequency ν at which the signals are emitted according to

$$\nu' = \frac{\nu}{k(\beta)} = \nu \sqrt{\frac{1 - \beta}{1 + \beta}}. \quad (4.2)$$

An obvious example of a periodic phenomenon is a monochromatic light wave, where the successive nodes of the light wave play the role of the periodic events. In that case (4.2) may be regarded as the relativistic version of the longitudinal Doppler shift.⁶ For velocities small compared to the velocity of light, we find,

$$\nu' = \nu \sqrt{\frac{1 - \beta}{1 + \beta}} = \left\{ 1 - \beta + \frac{1}{2}\beta^2 + \dots \right\} \nu. \quad (4.3)$$

The corresponding formula for the wave length is

$$\lambda' = k(\beta) \lambda = \lambda \sqrt{\frac{1 + \beta}{1 - \beta}} = \left\{ 1 + \beta + \frac{1}{2}\beta^2 + \dots \right\} \lambda, \quad (4.4)$$

⁶The transverse Doppler effect applies to observations made in a direction perpendicular to the direction of motion of the light signal. Nonrelativistically there is no such effect.

where we assume that the light velocity is constant and equal to c .

This result may be compared to the nonrelativistic version ($v \ll c$) of the Doppler effect where waves (e.g. sound waves), propagating through a medium with velocity v_s , are emitted by a moving source. The source is receding with velocity v from an observer who is at rest with respect to the medium. The observed frequency ν' and wave length λ' can be compared to the frequency ν and the wave length λ emitted by the source at rest. The result, discussed in section 1, reads (cf. 1.7)

$$\nu' = \frac{\nu}{1 + v/v_s}, \quad \lambda' = \lambda(1 + v/v_s). \quad (4.5)$$

We now observe that (4.4) and (4.5) tend to agree for small velocity v and $v_s = c$, thus justifying the term relativistic Doppler shift. Incidentally, when the source is at rest with respect to the medium, while the observer is receding with velocity v , then the result for the observed frequency and wave length is quite different, as is shown in (1.8).

Experimentally, the best way to determine the Doppler shift in order to distinguish between the predictions (4.4) and (4.5), is by measuring the wave lengths of spectral lines emitted by moving atoms. The relativistic effect was already confirmed around 1940 in a series of laboratory experiments by Ives and Stillwell⁷. In the experiments molecular hydrogen ions H_2^+ and H_3^+ are accelerated in an electric field. After neutralization and dissociation, these ions give rise to excited hydrogen atoms with a velocity of approximately $\beta = 5 \times 10^{-3}$. The wave lengths of certain spectral lines are measured very accurately, both for light emitted in the direction of motion of the atoms ("forward") and in the opposite direction ("backward"). According to (4.4) the wave length in the forward direction is equal to

$$\lambda_{\text{forward}} = k(-\beta) \lambda_0 = \frac{\lambda_0}{k(\beta)} < \lambda_0, \quad (4.6)$$

where λ_0 is the wave length of the spectral line as emitted by the atom at rest. The wave length measured in the backward direction, on the other hand, is given by

$$\lambda_{\text{backward}} = k(\beta) \lambda_0 > \lambda_0. \quad (4.7)$$

Therefore the average wave length is equal to

$$\begin{aligned} \frac{\lambda_{\text{forward}} + \lambda_{\text{backward}}}{2} &= \frac{\lambda_0}{2} \left(\sqrt{\frac{1-\beta}{1+\beta}} + \sqrt{\frac{1+\beta}{1-\beta}} \right) \\ &= \frac{\lambda_0}{\sqrt{1-\beta^2}}. \end{aligned} \quad (4.8)$$

This expression should be compared to the nonrelativistic result (4.5), according to which the average wave length remains equal to λ_0 . However, Ives and Stilwell reported a shift of the average wave length with a value that was precisely in accord with (4.8).

⁷H.E. Ives and G.R. Stillwell, J. Opt. Soc. Am. **27** (1937) 389; **28** (1938) 215; **31** (1941) 369.

5 Time dilation and the twin paradox

So far we have compared times as measured in two different frames that refer to different events, namely to the emission and the subsequent reception of a light signal. We would like to know, however, what the value is of a time interval between two given events when measured in two different inertial frames. From the previous experiment it is easy to derive the desired result. Consider the two following events. The first one occurs when the light source and the reflecting body are at the same location. We assumed that in that situation the observers in the two frames $\mathcal{S}$ and $\mathcal{S}'$ synchronized their clocks. The second event is the reflection of the light, which according to the first observer takes place at $t = t_r$. Using (3.2) we can express t_r as a function of the emission time T of the light signal, so that the time interval between the two events equals

$$t_r = \frac{T}{1 - \beta}. \quad (5.1)$$

According to the second observer reflection takes place at $t' = t'_r$, which according to (3.12) is equal to

$$t'_r = k(\beta) T. \quad (5.2)$$

So the time interval between the moment that the light source and the reflecting body pass each other ($t = t' = 0$) and the moment that the light signal is reflected (t_r and t'_r , respectively) is *not* equal when measured in different frames. Instead we find

$$t_r = \frac{1}{(1 - \beta)k(\beta)} t'_r = \gamma_v t'_r, \quad (5.3)$$

where we introduced the so-called gamma factor

$$\gamma_v = \frac{1}{\sqrt{1 - v^2/c^2}} \geq 1. \quad (5.4)$$

Consequently $t_r > t'_r$ for two inertial frames moving at nonzero relative velocity!

At first sight one might be worried about this result. After all, according to the relativity postulate, there is no difference between the two frames. Therefore, if one observer claims that he measures a larger time interval than another observer, then this other observer will claim that he should also measure a larger time interval, so that there is an obvious contradiction! It is important to resolve this puzzle. While it is true that there is no essential difference between the two inertial frames, there is, however, a subtle difference in the way the events occur in the two frames. Both events occur at the position of the reflecting object, which is at rest in $\mathcal{S}'$. Therefore in $\mathcal{S}'$ both events take place at the same place corresponding to $x' = 0$. However, in contradistinction with the situation observed in $\mathcal{S}'$, the two events do not occur at the same position when observed in $\mathcal{S}$. Our conclusion is therefore that a measurement of a certain time interval is minimal when the two consecutive events that define the interval occur at the same position. This time interval is called the

proper time and is usually denoted by τ . The time interval measured by another observer moving at constant relative velocity v is always larger than τ and given by

$$t = \gamma_v \tau. \quad (5.5)$$

This effect is called *time dilation*.

There are numerous experimental confirmations of the time dilation effect. Consider, for instance, unstable elementary particles, such as muons. A muon is a particle which resembles the electron, except that it is 200 times as massive and it is very unstable. At rest a muon decays with a mean life time of $\tau_m = 2.2 \times 10^{-6}$ seconds into an electron (or a positron) and two so-called neutrinos. Muons are continuously created by cosmic rays that enter the earth's atmosphere, and they can also be made in the laboratory. The surprising fact is that muons created in the outer layers of the atmosphere, which move with velocities close to the velocity of light, live long enough to be detected at the earth's surface! And similarly, in the laboratory one can prepare beams of very energetic muons as well as other unstable particles, which exist for sufficiently long periods of time to enable physicists to perform scattering experiments. These facts are all explained by the phenomenon of time dilation. While the muon decays almost instantly according to an observer who is moving at the same velocity as the particle, it can live during a considerable period of time according to an observer moving at large relative velocity. The shortest lifetime is thus measured by an observer with respect to whom the particle is at rest. The frame in which the particle is at rest is called the rest frame.

There are many other experiments, such as with very accurate atomic clocks located in space labs that circle the earth at large velocities. Historically the phenomenon was often discussed in the context of the so-called twin paradox. Consider two twin brothers, called Caretaker and Adventurer. While Caretaker stays at home, Adventurer leaves home for a long journey. He travels a long distance L (according to measurements by Caretaker) with velocity v , after which he returns with the same velocity. According to Caretaker the trip takes T seconds, so $L = \frac{1}{2}vT$. According to Adventurer the trip takes T' seconds, and the maximal distance between him and his brother was L' , so $L' = \frac{1}{2}vT'$.

During the trip the two brothers communicate by light signals: every instant of time $\Delta\tau$ they emit a flash of light, which is recorded by the other one. During the time that the two are moving apart, they receive each others light signals with a time period equal to $k(\beta)\Delta\tau$. Later, when they are again approaching each other, the time period between two consecutive signals is smaller and equal to $k(-\beta)\Delta\tau$. Observe that this statement applies to both brothers. First they both record signals with time intervals that are larger than $\Delta\tau$, while later on they receive the signals at a faster rate with time intervals that are smaller than $\Delta\tau$. In this respect there is thus no difference between the observations made by the two brothers. However, there is a difference when we consider the trip as a whole and plot the light signals against time for each of the two. Because it takes Adventurer the same amount of time to move away from Caretaker as it takes him to return, he emits a total number of $\frac{1}{2}T'/\Delta\tau$ signals when moving away and the same number when returning. Therefore Caretaker records $\frac{1}{2}T'/\Delta\tau$ signals with time period $k(\beta)\Delta\tau$ and $\frac{1}{2}T'/\Delta\tau$ signals

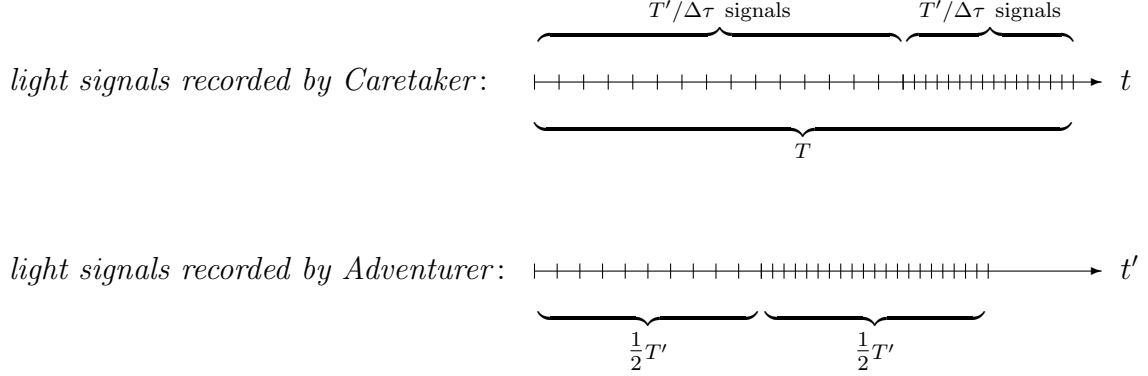


Figure 3: The twin paradox: Caretaker receives an equal *number* (namely $\frac{1}{2}T'/\Delta\tau$) of low and high frequency light signals, while Adventurer does not receive the same number of low and high frequency signals, but he receives them during equal *time* periods (equal to $\frac{1}{2}T'$).

with time period $k(-\beta)\Delta\tau$. According to Caretaker the trip of his brother thus takes

$$T = \frac{1}{2}T'k(\beta) + \frac{1}{2}T'k(-\beta) = \gamma_v T' \quad (5.6)$$

seconds.

As shown in fig. 3, the records of Adventurer look different. While moving away Adventurer receives fewer signals from Caretaker than during his return trip, simply because it takes the signals from Caretaker a certain amount of time to reach Adventurer. Until Adventurer's point of return he has received $(\frac{1}{2}T - L/c)/\Delta\tau = \frac{1}{2}T(1-\beta)/\Delta\tau$ light signals with a time period of $k(\beta)\Delta\tau$. During his return trip he receives $(\frac{1}{2}T + L/c)/\Delta\tau = \frac{1}{2}T(1+\beta)/\Delta\tau$ signals with time period $k(-\beta)\Delta\tau$. Therefore Adventurer concludes that his trip takes

$$T' = \frac{1}{2}T(1-\beta)k(\beta) + \frac{1}{2}T(1+\beta)k(-\beta) = \gamma_v^{-1}T \quad (5.7)$$

seconds. Clearly $T > T'$, so that Caretaker has aged more during the trip than his brother. Note that (5.6) and (5.7) are equivalent.

The paradox arises when one insists that there should be no difference between the two brothers. One then concludes that the trip looks the same from the point of view of Caretaker as from the point of view of Adventurer. Each sees his brother taking off and returning after a while. The crucial difference between the two brothers is, however, that Adventurer feels an acceleration when he decides to return, while Caretaker does not. One could say that Adventurer jumps from one inertial frame, which is receding from Caretaker with a velocity v , to another one, which is approaching Caretaker with velocity v . Before and after this jump, one may correctly claim that there is no way to distinguish between the brothers, in accord with the relativity postulate. Of course it is possible to perform a (time-dependent) coordinate transformation such that Adventurer is at rest during the whole trip, but such a transformation is not allowed in the context of the theory of special

relativity, as we are no longer transforming from one inertial frame to another. If one insists in performing this transformation one has to make use of the theory of *general relativity*. For now the conclusion is that time is not a global quantity. The meaning of time can be attached to a clock or an observer, but cannot be defined for all observers at once.

Exercise 5.1: *Twin travel* – Draw a spacetime diagram of the trip of Adventurer, based on the restframe of Caretaker. Argue that one can make a similar diagram based on Adventurer’s restframe, but that this does not correspond to a given inertial frame. Therefore, draw two other spacetime diagrams, one associated with the restframe of Adventurer immediately after his departure, and another one based on his restframe just prior to his return.

6 The Lorentz-FitzGerald contraction

As mentioned earlier, measurements of time differences can be converted into distance measurements. Therefore time dilation implies a similar effect for length measurements. It turns out that the length of an moving object in the direction of motion is shorter than the length measured at rest. This phenomenon is called Lorentz-FitzGerald contraction.⁸

Consider an observer who travels along a stick of length λ , as measured in the rest frame of the stick, with velocity v . According to the observer the stick passes him with opposite but equal velocity and when one of the endpoints of the stick passes by, he checks his watch. In this way he measures a time difference equal to τ , and because he is travelling with velocity v , he concludes that the length of the stick is equal to $\ell = v \tau$.

A second observer who is at rest relative to the stick, or rather two observers with synchronized clocks who are located at each of the endpoints of the stick, measure also the time when the moving observer’s position coincides with that of the endpoints. They measure a time difference t and conclude that the length of the stick is equal to $\lambda = v t$. However, because of time dilation we know that $t = \gamma_v \tau$. Combining these results, it follows that λ and ℓ must be related according to

$$\ell = v \tau = \frac{v t}{\gamma_v} = \frac{\lambda}{\gamma_v}. \quad (6.1)$$

Therefore the length of a moving body along the direction of motion is smaller than the corresponding length measured in the rest frame. Consequently, neither time nor distance has an absolute meaning. One must always specify in which frame these quantities are measured.

Hence the Lorentz-FitzGerald contraction rests on a proper definition of “length”. As it turns out a length measurement must refer to the motion of the observer and as such the contraction is of kinematic origin. Nevertheless, it is real and undeniable, just as the time dilation effect described in the previous section. Note also that these effects are not in any

⁸For an interesting account of FitzGerald’s contribution, see J.S. Bell, *in* Physics World, vol. 5 no. 9, p. 31.

way caused by the fact that the result of the measurements still have to be carried (usually by light signals) over certain distances, which would require us to correct the outcome of measuring a time or length interval. One can easily verify that our derivations are free of such complications.

The Lorentz-FitzGerald contraction should not primarily be thought of as a dynamical effect, as is sometimes done in the literature, probably because the derivations before Einstein's were based on considerations of the nature of the forces that physically determine the length of an object. Of course, accepting the theory of special relativity implies that one must insist that also the dynamics is consistent with the principles of special relativity. In that case the forces viewed by an observer who moves relative to some object, will change such that they do give rise to a length (as measured by this observer) that is contracted as compared to the length measured by an observer who is at rest relative to the object. However, the object itself is not affected by these measurements and the fact that different observers quote different lengths.

7 Addition rule for parallel velocities

In daily life we are accustomed to the idea that velocities can be added as vectors. If an observer 1 has a velocity v_{12} relative to an observer 2, which in turn moves with velocity v_{23} relative to a third observer 3, then the first observer moves with velocity

$$v_{13} = v_{12} + v_{23} \quad (7.1)$$

with respect to observer 3. Here we assume that all three observers are moving in the same direction, so that there is no need for a vector notation. Furthermore we use the convention that v_{ij} denotes the velocity of observer i with respect to observer j , so that v_{ji} is the velocity of observer j with respect to observer i , which is therefore opposite to v_{ij} ,

$$v_{ij} = -v_{ji} . \quad (7.2)$$

This allows us to write (7.1) in the form

$$v_{12} + v_{23} + v_{31} = 0 . \quad (7.3)$$

Evidently (7.1) and (7.3) cannot be true according to the theory of relativity, because this addition rule disagrees with the light postulate.

In order to determine the relativistic rule for adding parallel velocities (we will discuss the more general case later), consider again three observers denoted by 1, 2 and 3. The first one is at rest, while 2 is moving with constant velocity v_{21} and 3 is moving with constant velocity v_{31} in the direction of the positive x -axis. Assume that $v_{21} < v_{31}$. At $t = 0$ all three observers are at the same position, which we denote by $x = 0$, and synchronize their watches. At some later time T_1 , observer 1 emits a light signal which is detected by observer 2 at time T_2 according to his watch. At that moment observer 2 emits a light

signal in the same direction, which is detected by observer 3 at time T_3 on his watch (see figure 4).

We now want to determine the velocity v_{32} of observer 3 relative to observer 2. We first note that (3.12) implies that

$$T_3 = k(\beta_{32}) T_2, \quad T_2 = k(\beta_{21}) T_1, \quad (7.4)$$

where we use the obvious notation

$$\beta_{ij} \equiv \frac{v_{ij}}{c}, \quad \beta_{ij} = -\beta_{ji}. \quad (7.5)$$

However, if we ignore the observer 2, we have the situation that a light signal is emitted by observer 1 at T_1 and received by observer 3 at time T_3 . So we also have

$$T_3 = k(\beta_{31}) T_1. \quad (7.6)$$

Combining (7.4) and (7.6) thus leads to

$$k(\beta_{31}) = k(\beta_{32}) k(\beta_{21}), \quad (7.7)$$

or,

$$\frac{1 + \beta_{31}}{1 - \beta_{31}} = \frac{1 + \beta_{32}}{1 - \beta_{32}} \frac{1 + \beta_{21}}{1 - \beta_{21}}. \quad (7.8)$$

This can be written as

$$\beta_{12} \beta_{23} \beta_{31} + \beta_{12} + \beta_{23} + \beta_{31} = 0. \quad (7.9)$$

In terms of velocities we conclude that (7.3) must be replaced by

$$v_{12} + v_{23} + v_{31} = -\frac{v_{12} v_{23} v_{31}}{c^2}. \quad (7.10)$$

Solving this equation for v_{13} gives the relativistic addition rule

$$v_{13} = \frac{v_{12} + v_{23}}{1 + v_{12} v_{23}/c^2}. \quad (7.11)$$

This modified addition rule is in agreement with the light postulate. Adding two velocities $v_{12} = v$ and $v_{23} = c$ gives $v_{13} = c$, so that the velocity of light remains the same in all inertial frames. Of course for velocities small compared to the velocity of light we obtain the classical result (7.1).

Already in 1851 Fizeau performed an experiment to measure the velocity of light in moving liquids⁹. The velocity of light in the liquid at rest is equal to c/n , where n is the index of refraction of the liquid. Denoting the velocity of the liquid by v , the velocity of light in the moving liquid is equal to

$$u = \frac{c/n + v}{1 + v/(nc)} \approx \frac{c}{n} + \left(1 - \frac{1}{n^2}\right) v + \dots, \quad (7.12)$$

⁹In 1847 Fizeau had already carried out the first terrestrial determination of the speed of light (in air).

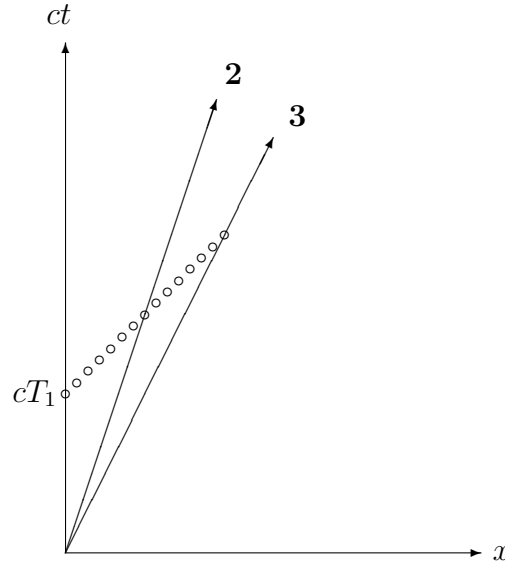


Figure 4: Space-time diagram of three observers moving at constant relative velocities.

where we used (7.11) and the dots indicate terms of order v^2/c . Fizeau's experimental results were in reasonable agreement with this formula, which was already derived by Fresnel in 1818, based on some version of the aether theory. The relativistic derivation given here, was published by von Laue in 1907¹⁰. Later Lorentz modified (7.12) by taking into account dispersion effects. Dispersion means that the index of refraction depends on the frequency of the light. Therefore one has to take into account the fact that the frequency experienced by the liquid is shifted as a result of the Doppler effect. Lorentz' corrections were later confirmed in a series of very accurate experiments performed by Zeeman.

Exercise 7.1: Maximal velocity – Rewrite (7.11) as

$$\beta_3 = \frac{\beta_1 + \beta_2}{1 + \beta_1\beta_2},$$

and consider the values of β_3 for $-1 \leq \beta_{1,2} \leq 1$. Analyze this, for instance, by first proving that β_3 has only (local) extremal points whenever $|\beta_1|$ or $|\beta_2|$ equals unity (exclude $\beta_1\beta_2 = -1$).

8 The special Lorentz transformation

It is clearly desirable to have a general formula that relates the spatial coordinates and the time for a given event as measured in two different inertial frames. This formula follows

¹⁰M. von Laue, Ann. der Physik **23** (1907) 989.

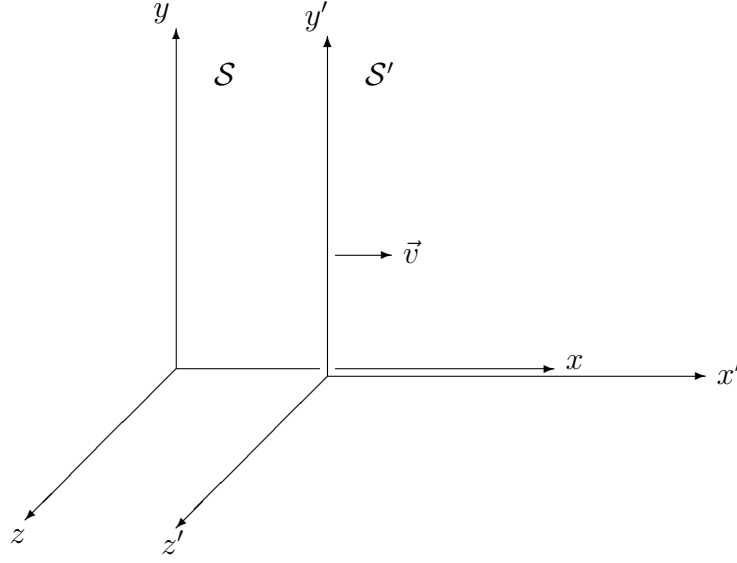


Figure 5: The two inertial frames $\mathcal{S}$ and $\mathcal{S}'$ used in the text.

from the so-called special Lorentz transformation, which incorporates all the information one needs to relate observations done in two different inertial frames. The transformation encompasses all the results that we derived before and will serve as a general starting point for all subsequent considerations.

To derive the formula for the Lorentz transformation let us again start from two inertial frames, called $\mathcal{S}$ and $\mathcal{S}'$. The origins of the two frames are denoted by O and O' and the clocks, which are at rest at the origin of the frames, have been synchronized such that they coincide at $t = t' = 0$. For simplicity we assume that the two frames move with a relative velocity $\vec{v}$ such that the x -axis, the x' -axis and the velocity vector $\vec{v}$ of $\mathcal{S}'$ with respect to $\mathcal{S}$ are pointing in the same direction (see figure 5). Consider the situation summarized in figure 6. From the origin O of $\mathcal{S}$ at some positive time, a light signal is emitted along the (positive) x -axis. The signal passes the origin O' of $\mathcal{S}'$ at some later time ($v < c$) and is subsequently reflected by a mirror. After reflection, the light signal passes again the origin O' of $\mathcal{S}'$ and is finally detected at the origin O . Let us denote the coordinates and the time at which the light signal is reflected by the mirror by x, t in $\mathcal{S}$ and x', t' in $\mathcal{S}'$, respectively.

According to the clock of $\mathcal{S}$ the light signal was emitted at O at time $t - x/c$ and returned at time $t + x/c$. According to the clock in $\mathcal{S}'$ the light signal passes the origin O' at times $t' - x'/c$ and $t' + x'/c$. Note that it is not relevant for this result whether or not the mirror is moving. We only make use of the fact that after reflection, the light signal has to travel over the same distance in order to return to the origin O or O' , respectively.

Now we know that the time of emission of a light signal and the time that it is received by an observer who is receding with constant velocity from the source of emission, are

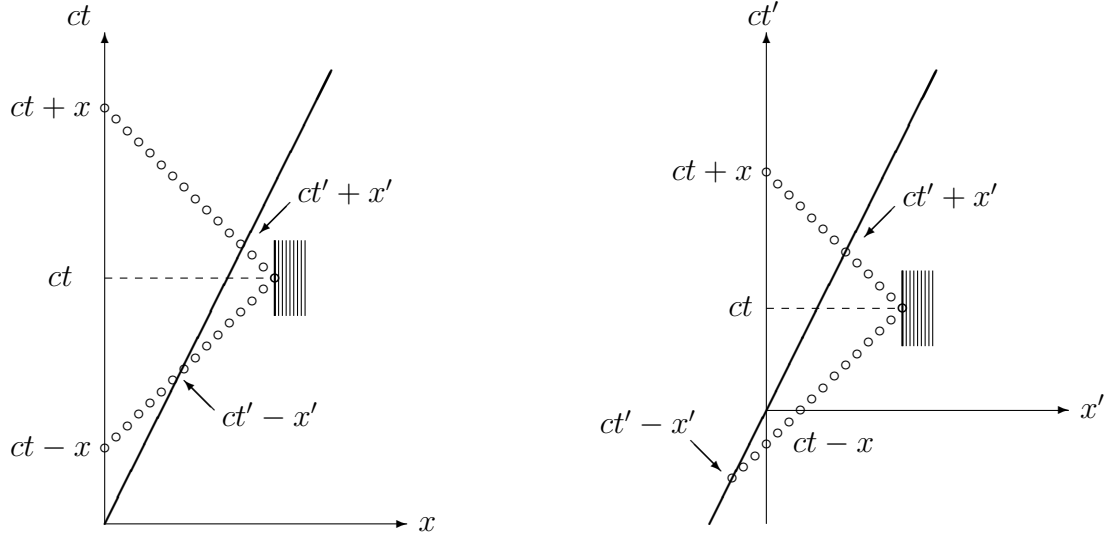


Figure 6: Space-time diagram of two experimental situations described in the text, which are used to derive the Lorentz transformation. A light signal is reflected by a mirror and the consequences are observed in two inertial frames.

related according to (3.12). Therefore we have the following two relations,

$$\begin{aligned} t' - \frac{x'}{c} &= k(\beta) \left(t - \frac{x}{c} \right), \\ t + \frac{x}{c} &= k(\beta) \left(t' + \frac{x'}{c} \right). \end{aligned} \quad (8.1)$$

Combining the above equations, we obtain the *special Lorentz transformation*

$$x' = \gamma_v (x - vt), \quad t' = \gamma_v \left(t - \frac{v}{c^2} x \right), \quad (8.2)$$

where γ_v was defined in (5.4).

Observe that our derivation applies, strictly speaking, only to the case $x \leq ct$, because the distance x must be travelled by a light signal within a time interval equal to t . However, we can consider a slightly different situation where the light signal is emitted at O' at negative time, passes O and is then reflected by the mirror. Meanwhile O' has passed O so that the reflected signal is first recorded by O' and finally by O . In that case we have $x \geq t$. We leave the derivation of (8.2) for this case to the reader.

We derived (8.2) for a light signal moving along the x -axis, but it is easy to see that the same result holds for light signals moving parallel to the x -axis, i.e. at some finite but fixed value of the coordinates y and z . This implies that plane waves moving along the x -axis remain plane waves when observed in the other frame. Actually, it is hard to

see how this could not be the case, as the experiment remains the same under arbitrary translations in directions perpendicular to the x -axis. In other words, the result should remain independent of the value of the y and z coordinate.

The above argument does not yet determine what the values are of the transverse coordinates y' and z' as measured in $\mathcal{S}'$. It turns out that distances perpendicular to $\vec{v}$ remain in fact the same in the two frames, so that

$$y' = y, \quad z' = z. \quad (8.3)$$

In order to derive this result, consider two identical rigid rods, which are pointing into the same direction. One rod is at rest, and the other one is moving in a direction perpendicular to it such that at a certain moment the two rods will completely overlap. According to the above arguments, the situation will be the same when viewed in the other frame in which the first rod is moving and the second one is at rest. To each of the rods we have attached two needles at equal separation length, which leave two scratches on the other rod when passing. After the experiment the distance between the two scratches is compared to the distance between the needles. If the distances are not the same, then a moving rod is not of the same length as a rod at rest. This leads to a contradiction. According to one observer, the two rods must have overlapped at a certain moment, so that a certain section of one rod has overlapped a similar but shorter section of the other rod. On the other hand, according to the other observer, a slightly bigger section of the second rod has overlapped with the same section of the first rod, so that one must conclude that two points of the same rod must have overlapped as well. This is clearly impossible for a rigid rod, and we conclude that distances remain the same for observers moving at constant velocity in a direction perpendicular to the distance. Stated differently, the Lorentz contraction acts only on longitudinal, but not on transverse distances.

We can write (8.2) and (8.3) in such a way that the formulae apply to general velocities $\vec{v}$, which are not necessarily parallel to the x -axis,

$$\begin{aligned} \vec{r}'_{\parallel} &= \gamma_v (\vec{r}_{\parallel} - \vec{v} t), & \vec{r}'_{\perp} &= \vec{r}_{\perp}, \\ t' &= \gamma_v \left(t - \frac{\vec{v} \cdot \vec{r}}{c^2} \right), \end{aligned} \quad (8.4)$$

where we decomposed the position vector $\vec{r}$ in a vector $\vec{r}_{\parallel}$ parallel to $\vec{v}$ and a vector $\vec{r}_{\perp}$ perpendicular to $\vec{v}$. We thus have the obvious identities $\vec{r} = \vec{r}_{\parallel} + \vec{r}_{\perp}$ and $\vec{v} = \vec{v}_{\parallel}$. Note the difference between the Lorentz and the Galilei transformation given in (1.1).

The Lorentz transformation is of central importance for the theory of special relativity. From it we can directly rederive all the results obtained so far. We illustrate this for the time dilation and the Lorentz-FitzGerald contraction in exercises 8.1 and 8.2.

Exercise 8.1: *Time dilation* – Consider two events that take place at the origin of $\mathcal{S}'$ at time $t' = 0$ and $t' = \tau$. According to the first equation in (8.2) these events occur at $x = vt$ in $\mathcal{S}$. Substitute this result into the second equation for t' and derive that t' and t are linearly related by $t' = \gamma_v^{-1}t$. Hence in $\mathcal{S}$ the two events take place at $t = 0$ and $t = \gamma_v \tau$. Argue that this is

precisely in accord with (5.5). Thus the time interval measured in $\mathcal{S}$ is larger than τ . Note that the two events do not occur at the same place in $\mathcal{S}$, but at $x = 0$ and $x = \gamma_v v \tau$, respectively.

Exercise 8.2: *Length contraction* – To derive the Lorentz-FitzGerald contraction we take a rigid rod at rest in $\mathcal{S}'$ of length λ . It is directed along the x' -axis with endpoints at $x' = 0$ and $x' = \lambda$. Again we invoke (8.2) to calculate the corresponding points in $\mathcal{S}$, but now measured at the *same* instant of time, say $t = 0$. Substituting $t = 0$ into (8.2) yields $x' = \gamma_v x$. Therefore the endpoints of the rod are at $x = 0$ and $x = \gamma_v^{-1} \lambda$. Hence the length of the rod measured in $\mathcal{S}$ is smaller and given by $\ell = \gamma_v^{-1} \lambda$, in agreement with the formula for the length contraction.

Finally we note an important and characteristic property of Lorentz transformation, namely that $x^2 + y^2 + z^2 - c^2 t^2$ remains invariant. More explicitly, we have

$$x'^2 + y'^2 + z'^2 - c^2 t'^2 = x^2 + y^2 + z^2 - c^2 t^2. \quad (8.5)$$

This result, which can be verified directly from (8.4), plays an important role later on. It is often used as the defining property of the Lorentz transformations. The relation (8.5) can be easily interpreted for the case that $x^2 + y^2 + z^2 - c^2 t^2 = 0$, which is satisfied for a light signal emitted at $t = 0$ from the origin in some spatial direction. Because of (8.5) we find the same condition in the new coordinates, in accord with the fact that the velocity of light is the same in both frames. However, the spatial direction of the light ray will in general be different in the other frame.

Exercise 8.3: *Electron signals* – A spacecraft leaves the earth with constant velocity v . It is not possible to maintain contact by means of radio or light signals. Instead one has to communicate via electrons which are sent by a small accelerator, one on earth and another one on the space craft. The electrons sent from earth have velocity w and to be able to reach the spacecraft, we must insist on $w > v$.

At the moment of the launch the clocks on the earth and the spacecraft are synchronized. At time T , the first bunch of electrons is sent from earth. This bunch is received at time T' , measured on the clock of the spacecraft.

Draw a spacetime diagram of the situation described above.

Then determine the time t_r , measured on the earth-bound clock, on which the electron signal reaches the space craft. Observe that the result is derived in the same way as (3.2) but takes a slightly different form,

$$t_r = \frac{T}{1 - v/w}.$$

Determine, by making use of the Lorentz transformation, the time T' of the arrival of the electron signal as measured on the clock on the spacecraft. Interpret the result, $T' = \gamma_v t_r$, as a time dilation effect.

Immediately after receipt of the signal, the spacecraft sends a confirmation in the form of an electron signal from its own accelerator. This is detected on earth at time

$$T'' = \frac{\alpha}{1 - v/w} T.$$

Argue that α must be bigger than a certain critical value from the fact that the return signal cannot move faster than light. Argue also that there is no maximal value for α . Denote the velocity of the electron signal as measured on earth by u and prove from $vt_r = (T'' - t_r)u$ that $\alpha = 1 + v/u$. Specify now the minimal value of α .

Determine the velocity of the returning electrons expressed in the rest frame of the spacecraft. Use of (7.11) leads to the result

$$u' = \frac{u + v}{1 + uv/c^2} .$$

Determine now α assuming that the electron accelerators on earth and on the spacecraft are identical. Compare the result, $\alpha = [\gamma_v^2(1 - v/w)]^{-1}$ with results obtained in section 3 in the limit that $w \rightarrow c$.

9 Space and time for accelerated observers

When discussing the twin paradox, we concluded that the two twins were not in an equivalent situation, because one of them was subject to a sudden acceleration by which his velocity (relative to his brother) was reversed. This example leads us to suspect that acceleration can give rise to rather unusual phenomena in a relativistic context. Now that we have the Lorentz transformation at our disposal we can study such phenomena in a more explicit fashion.

The Lorentz transformation derived above allows us to convert the coordinates and the time associated with a single event measured in one inertial frame into the coordinates and the time measured *for the same event* in another inertial frame. Obviously this can also be done for a sequence of separate events. Hence we may consider a particle moving in space and described by an observer at rest in some inertial frame. Viewed as a function of the time t , the motion of the particle then defines a trajectory in a space-time diagram of the type considered before, according to which at each value of t a vector $\vec{r}$ is assigned corresponding to the position of the particle. Each point of the space-time trajectory, characterized by the value of t , thus defines an event.

The space-time trajectory swept out by the particle is described by specifying its three coordinates (measured in a given inertial frame) as a function of the time t . These three coordinates, $x(t)$, $y(t)$ and $z(t)$, comprise a vector $\vec{r}(t)$, which depends on t , and its time derivative defines the velocity vector, again as a function of time. In principle we can also describe the motion of the particle as seen by an observer moving at a constant relative velocity with respect to the initial coordinate frame, by applying a corresponding Lorentz transformation to each point of the orbit (each characterized by a certain value of t) separately, thus reconstructing the trajectory as observed in the other frame, point by point. When straightforwardly applying the Lorentz transformations for the coordinates, this yields us the position vector $\vec{r}'(t)$ in the new inertial frame, but still parametrized in terms of the original time variable t . However, for an observer at rest in the new frame, t is a rather irrelevant parameter as his clock measures the time t' . To obtain the space-time trajectory relevant to the new frame we must obtain $\vec{r}'$ as a function of t' . This is not

difficult, at least not in principle. Each point of the original trajectory is parametrized by t and by means of the Lorentz transformation applied to the corresponding space-time point (event), each point yields a corresponding value for t' . To perform all these substitutions may be rather complicated in practice, but ultimately one obtains the particle coordinates $\vec{r}'$ as functions of the time t' .

Let us demonstrate this procedure and apply it to a specific trajectory. The purpose of the subsequent discussion is not to elaborate on the details of the calculations, which admittedly are somewhat involved. The calculations can be skipped at first reading. The specific example is presented to show how such results can be obtained in an explicit fashion, without relying on qualitative arguments. Our goal is to elucidate the rather important physical consequences of the result, which themselves do not depend on the details of the calculation.

Consider a particle, which, for $t \leq T_1 = 0$, is at rest in an inertial frame $\mathcal{S}$ and located at a position specified by the coordinates $x = L$ and $y = z = 0$. At $t = 0$ the particle experiences a *constant* acceleration $\vec{a} = (a, 0, 0)$ directed along the x -axis; y and z thus remain zero. At some later time, $t = T_2 = v/a$, the acceleration is switched off and the particle moves at a constant velocity $\vec{v} = (v, 0, 0)$ directed along the x -axis. It is not difficult to specify the orbit followed by the particle as a function of the time t . Ignoring y and z coordinates, which remain zero, we have

$$x(t) = \begin{cases} L & \text{for } t \leq T_1 = 0, \\ L + \frac{1}{2}at^2 & \text{for } T_1 \leq t \leq T_2, \\ L - \frac{v^2}{2a} + vt & \text{for } t \geq T_2 = v/a. \end{cases} \quad (9.1)$$

Before proceeding we make the following observations:

- First of all, at this point we are just assuming that the particle is subject a constant acceleration a during a finite time interval. We do not (yet) know how to achieve this; more precisely, we do not know what the applied force should be in order that the particle is indeed experiencing a constant acceleration. While in Newtonian mechanics such a force must be constant, this is not so in relativistic mechanics; as we will discover in due time the force must be increased in time in order to sustain the constant acceleration.
- Secondly, when the time interval during which the acceleration is applied or the acceleration itself is sufficiently large, the velocity acquired by the particle may eventually exceed the velocity of light. Again we don't know yet whether this will be practically possible, but we insist on excluding this situation by restricting the force to a finite interval such that the velocity will remain smaller than that of light. This implies that the force is only applied during a time interval smaller than $\Delta t = c/|a|$. As we shall see in a moment, when this condition is not respected rather strange phenomena will happen, which seem physically unacceptable.

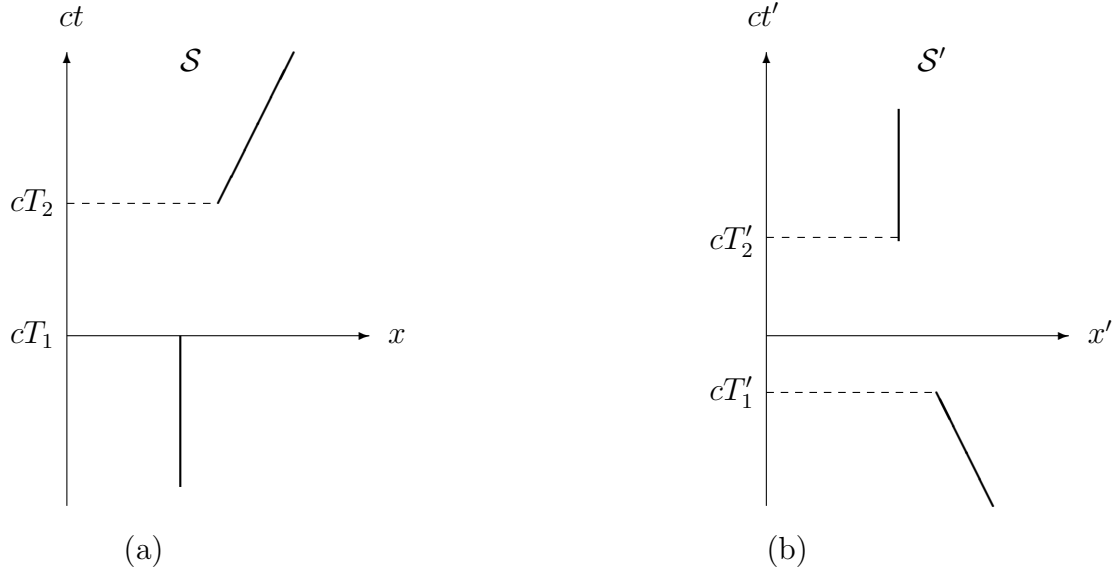


Figure 7: The trajectory swept out by the motion of a particle as described in the text. The diagrams (a) and (b) show the trajectory as seen by observers at rest in the inertial frame $\mathcal{S}$ and $\mathcal{S}'$, respectively. The times T_1 and T_2 in the first frame and T'_1 and T'_2 in the second frame refer to the time at which the acceleration is switched on and off, respectively. In the diagrams, we chose positive values for L , v and a .

- Thirdly, we impose a constant acceleration as measured in the inertial frame $\mathcal{S}$. In other words, the velocity of the particle as measured in $\mathcal{S}$ increases linearly in the time relevant to that frame. However, other observers moving at constant relative velocity with respect to $\mathcal{S}$ will not agree with that statement. Furthermore one can also determine the acceleration in the instantaneous rest frame of the particle (in other words, the velocity acquired per unit of *proper* time) and again this acceleration is not constant.

We return to some of these observations shortly, so let us now proceed and describe the space-time trajectory in some other inertial frame. We choose a second inertial frame $\mathcal{S}'$, moving at a constant velocity $\vec{v}$, the same velocity as acquired by the particle after the acceleration process, using the synchronized clocks in the usual fashion, so that the origins of the two frames $\mathcal{S}$ and $\mathcal{S}'$ coincide at $t = t' = 0$. According to an observer at rest in $\mathcal{S}'$, the particle will initially move with velocity $-\vec{v}$, then it will experience a deceleration during some finite time interval $T'_1 \leq t' \leq T'_2$, after which it will come to rest and stay that way. The trajectories of the particle seen by an observer at rest in $\mathcal{S}$ and by an observer at rest in $\mathcal{S}'$, are shown in figure 7.

Let us now apply the Lorentz transformation to a given point on the particle trajectory, characterized by a time t , which defines a single event. Application of (8.4) to (9.1) leads

directly to the coordinate x' (y' and z' remain zero and are ignored henceforth)

$$x'(t) = \begin{cases} \gamma_v L - \gamma_v v t & \text{for } t \leq T_1 = 0, \\ \gamma_v L - \gamma_v v t + \frac{1}{2}\gamma_v a t^2 & \text{for } T_1 \leq t \leq T_2, \\ \gamma_v \left(L - \frac{v^2}{2a}\right) & \text{for } t \geq T_2 = v/a. \end{cases} \quad (9.2)$$

In order to obtain the trajectory as seen by an observer located at the origin of $\mathcal{S}'$, we must determine x' as a function of the appropriate time t' . Therefore we first determine t' for the same point of the trajectory characterized by t , which follows also directly from combining (8.4) and (9.1),

$$t' = \begin{cases} \gamma_v t - \frac{\gamma_v v}{c^2} L & \text{for } t \leq T_1 = 0, \\ \gamma_v t - \frac{\gamma_v v}{c^2} \left(L + \frac{1}{2}a t^2\right) & \text{for } T_1 \leq t \leq T_2, \\ \gamma_v^{-1} t - \frac{\gamma_v v}{c^2} \left(L - \frac{v^2}{2a}\right) & \text{for } t \geq T_2 = v/a. \end{cases} \quad (9.3)$$

By substituting $t = T_1$ and $t = T_2$ in the expressions above, we find the corresponding values for t' at which the acceleration is switched on and off. They are denoted by T'_1 and T'_2 , respectively, and equal to

$$T'_1 = -\frac{\gamma_v v}{c^2} L, \quad T'_2 = \frac{v}{2a} (\gamma_v + \gamma_v^{-1}) - \frac{\gamma_v v}{c^2} L, \quad (9.4)$$

and thus $T'_2 - T'_1 = \frac{1}{2}(\gamma_v + \gamma_v^{-1})(T_2 - T_1)$, independent of L . Observe that this implies that $\Delta T \leq \Delta T'$.

Before expressing x' in terms of t' , we would first like to draw the attention to a number of interesting features in the relation (9.3) between t' and t , also shown in figure 9.2. As expected t' depends linearly on t when no acceleration is applied. It is easy to understand the two slopes, independent of the precise details of the situation, which are equal to γ_v and γ_v^{-1} for $t \leq T_1$ and $t \geq T_2$, respectively. This is precisely in accord with the time dilation effect: for $t \leq T_1$ the particle is at rest in $\mathcal{S}$, so that its proper time equals t , while for $t \geq T_2$ the particle is at rest in $\mathcal{S}'$, so that its proper time equals t' (up to irrelevant additive constants).

However, for $T_1 \leq t \leq T_2$ the time t' does not depend linearly on t , due to the acceleration, and in this time interval neither t nor t' are directly related to the proper time of the particle. A priori it is not guaranteed that t' rises monotonically as a function of t . If at some point t' would start to decrease, the particle trajectory would start running *backwards* in time! This strange phenomenon, which would obviously violate the notion of causality, would occur when dt'/dt becomes negative. Inspection shows that this is only the case when $at > c^2/v$, implying that the velocity v obtained after switching off the acceleration will exceed the velocity of light. This phenomenon was alluded to earlier.

The reader may get somewhat worried when comparing the two graphs in Fig 9.1. For an observer at the origin in $\mathcal{S}'$ the velocity changes at negative time. Could this observer

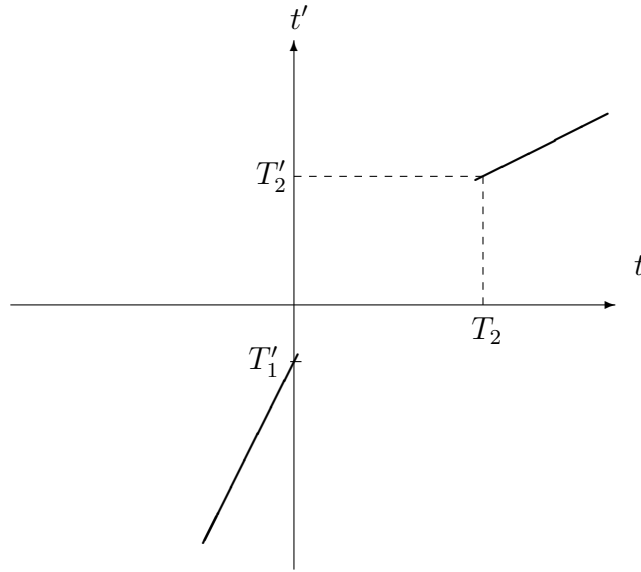


Figure 8: The time t' measured along the particle trajectory as a function of the time t measured along the same trajectory. Again we took positive values for L , v and a .

not contact his colleague who is at rest in the origin of $\mathcal{S}$ and inform him ahead of time of the acceleration that is about to be applied to the particle? If this were the case, the special theory of relativity would not respect causality! However, the theory does respect causality and the reader may easily verify that the information about the deceleration of the particle will never reach the first observer for negative times t' , at least not when the information travels at a speed of at most the velocity of light.

One may also wish to determine the proper time of the particle along the trajectory. This is the time measured by a clock that is attached to the particle (i.e., in its instantaneous rest frame). We already showed that, before switching on or after switching off the acceleration, proper-time intervals coincide with time-intervals measured in $\mathcal{S}$ and $\mathcal{S}'$, respectively. To obtain the lapse of proper time for the time interval during which the acceleration is applied, we must realize that for given t the velocity equals at . Therefore, an infinitesimal increase of the the proper time is reduced by the gamma factor associated with this velocity. More precisely, when the time increases by an amount Δt , the proper time is increased only by

$$\Delta\tau = \Delta t \sqrt{1 - \frac{a^2 t^2}{c^2}}. \quad (9.5)$$

It thus follows that a proper-time interval will always be shorter than the corresponding time interval measured in any other inertial frame. As discussed in exercise 9.1, to obtain a finite proper-time interval, we must integrate (9.5) along the spacetime trajectory.

Exercise 9.1: *Proper time* – To obtain the proper time elapsed after the acceleration was switched

on, we must integrate (9.5) from $t = T_1 = 0$ to $t \leq T_2$. The result is more general than for the example discussed in this section and the proper time can always be determined by integration along a trajectory in spacetime (c.f.(15.5)). In this particular case we can derive the following result,

$$\tau - \tau_1 = \int_0^t d\xi \sqrt{1 - \frac{a^2 \xi^2}{c^2}} = \frac{c}{2a} \arcsin \frac{at}{c} + \frac{1}{2}t \sqrt{1 - \frac{a^2 t^2}{c^2}}. \quad (9.6)$$

Observe that this equation does not depend on L , unlike some of the previous expressions for time variables. This is entirely reasonable, because for two observers being equally accelerated at a different position, the proper time should evolve identically. Obviously the above equation makes sense only when $at < c$, implying once again that the final velocity must remain smaller than c . When the acceleration is switched off at $t = T_2 = v/a$ the proper time interval between switching on and off the acceleration is thus equal to

$$\tau_2 - \tau_1 = \frac{v}{2a} \left[\frac{c}{v} \arcsin \frac{v}{c} + \gamma_v^{-1} \right]. \quad (9.7)$$

Finally let us now present x' as a function of t' . While this is trivial for $t \leq T_1$ and $t \geq T_2$, the calculation is somewhat laborious for the interval $T_1 \leq t \leq T_2$, because the relation between t' and t is not linear. One way to evaluate $x'(t')$ is to observe that the trajectory is part of a parabolic curve in the (x', t') -plane. To see this, one may apply the Lorentz transformation backwards on $x = L + \frac{1}{2}at^2$, so that one obtains

$$\gamma_v(x' + vt') = L + \frac{1}{2}a\gamma_v^2(t' + vx'/c^2)^2. \quad (9.8)$$

This yields two possible solutions for x' , of which only one is relevant. The combined result then takes the following form

$$x'(t') = \begin{cases} \gamma_v^{-1} L - vt' & \text{for } t' \leq T'_1, \\ \frac{c^4}{\gamma_v a v^2} \left[1 - \gamma_v \frac{av}{c^2} t' - \sqrt{1 - 2\gamma_v^{-1} \frac{av}{c^2} (t' + \frac{\gamma_v v}{c^2} L)} \right] & \text{for } T'_1 \leq t' \leq T'_2, \\ \gamma_v \left(L - \frac{v^2}{2a} \right) & \text{for } t' \geq T'_2. \end{cases} \quad (9.9)$$

As we stressed before, we give these results mainly to demonstrate how the calculation is performed. The qualitative features, which we discuss below, do not sensitively depend on all the details of the results above,

Let us now turn to these qualitative features. At first sight the observation of the particle trajectory in the two inertial frames seems in agreement with what one intuitively expects, except that there are some relativistic correction factors here and there. However, closer inspection reveals that some of these correction factors lead to rather amazing effects. To appreciate the significance of these effects let us describe them in a practical situation and return to the twins, Caretaker and Adventurer (before Adventurer's journey described in section 5, so that they still have the same age). This time they board an elevator,

but while Caretaker decides to stand on the floor of the elevator, as normal persons do, Adventurer decides to climb up and sit on the roof of the elevator. Once they have taken their positions, the elevator starts moving upwards. (Of course, a suspicious person is free to compare once more their age, immediately prior to taking off.) In line with our previous discussion we assume that the acceleration is constant during some time interval and is subsequently switched off, so that the elevator moves at a constant velocity v . Somewhat to their surprise, a little later the doors open at some other floor and the twins are able to leave. Of course, this implies that the higher floor moves with constant velocity v with respect to the lower one. This should not concern us here. At any rate, the twins are not able to verify this directly, as there are no windows on this floor. Thus they conclude that the elevator has probably been brought back to rest very gently, as they did not notice any effect due to the slowing down.

The space-time trajectories of the twins described in the two inertial frames $\mathcal{S}$ and $\mathcal{S}'$ attached to the lower and the higher floor, respectively, are shown in figure 9, where x and x' denote the coordinates in the vertical direction. The trajectories are the same as the trajectories depicted in figure 7; they follow from the previous formulae, except that the parameter L , which measures the height in $\mathcal{S}$ when boarding the elevator, is different for Caretaker and Adventurer and will be denoted by L_C and L_A , respectively. Consequently the height of the elevator is equal to $h = L_A - L_C$. Thus Caretaker and Adventurer are a distance h apart after boarding the elevator.

Let us view the situation from the point of view of the two reference frames associated with the two floors. In the coordinate frame $\mathcal{S}$ attached to the lower floor the situation is as expected. Both twins move up with the same velocity and at a *fixed* relative height equal to h . The proper time of the two twins (i.e., their age) is given by the same expression (9.6) as derived before. Equal time t thus corresponds to the same proper time τ .

The qualitative features of the situation are drastically different when viewed in $\mathcal{S}'$, the reference frame of the upper floor. Here one sees the twins approaching this floor with velocity v . They both slow down and ultimately come to rest at a certain height measured with respect to the upper floor coordinate frame. However, the twins do not come to rest at the same time! The velocity changes for Caretaker are delayed. In particular, as follows from (9.3) and (9.4), the acceleration of Caretaker is switched on and off at a later time than for Adventurer. The delay time is equal to $\Delta t' = \gamma_v v h / c^2$. However, according to the observer associated with the lower floor, as well as according to the clocks carried by the twins (which measure their proper time), the velocity changes happen at the same time. This implies that, after arrival on the upper floor, Adventurer has become *older* than Caretaker and their age difference is equal to $\gamma_v v h / c^2$. Another way of expressing this is by saying that the time apparently runs slower for Caretaker! Observe that, when the acceleration is only switched on during a small time interval ΔT (measured in $\mathcal{S}$), then the age difference can be approximated by

$$\Delta t' \approx \frac{ah}{c^2} \Delta T. \quad (9.10)$$

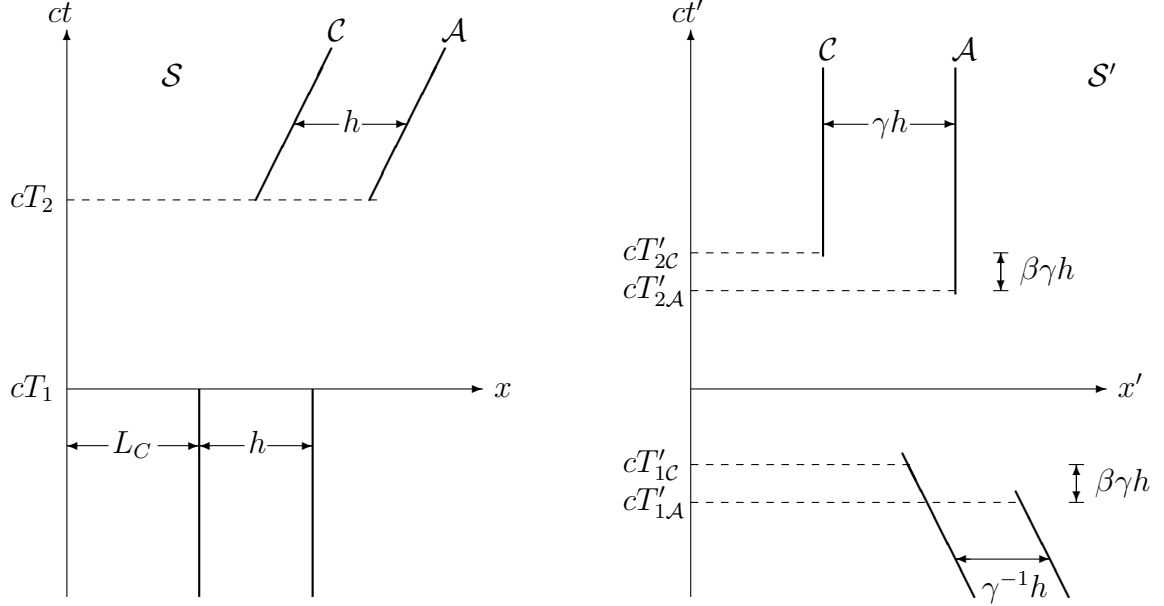


Figure 9: The space-time trajectory of the two twins Caretaker and Adventurer, who have boarded the same elevator but have taken positions at different heights. The coordinates x and x' refer to the vertical direction.

This formula was first derived by Einstein in 1907.¹¹

However, the difference in age is not the only effect of the acceleration. As seen in S' , the difference in height between Caretaker and Adventurer is *not* constant, but increases in time. Once both twins have come to rest, their relative distance has increased to $\gamma_v h$. Already before we noticed that distances depend on the frame in which they are measured, as is illustrated by the Lorentz-FitzGerald contraction discussed in section 6. Without specifying the velocity of the observer who performs the measurement, the result of a distance measurement is of no value. Therefore it is best to always refer to length measurements done in the rest frame. However, when the twins are being accelerated, it is not possible at any time to establish an inertial frame in which they are at rest simultaneously. For the twins, we can compare their relative distance in the rest frame some time *before* and some time *after* the force is applied. The result of this measurement is unambiguous and indicates that their relative distance has increased. The phenomenon is therefore a true physical effect, not something that depends on the properties of the observer who performs the measurements. We should also stress that the time lag and the

¹¹A. Einstein, Jahrb. Rad. Elektr. **4** (1907) 411.

change of the relative distance is *not* related to the details of the journey, as we will show in exercise 9.2.

Exercise 9.2: *Relative distance and acceleration* – To show that the change of the relative distance is not related to the details of the journey, we compare two inertial frames, $\mathcal{S}$ and $\mathcal{S}'$, with respect to which the twins are at rest before and after the forces are applied. According to an observer at rest in $\mathcal{S}$, the relative distance between the twins is constant and equal to h . This implies that in $\mathcal{S}$, the acceleration of the twins is always the same at every instant in time. Before the twins are accelerated, an observer at rest in $\mathcal{S}'$ measures a shorter distance between the twins than an observer at rest in $\mathcal{S}$, because of the Lorentz contraction. At the end, when no forces are applied anymore and the twins are at rest in the frame $\mathcal{S}'$, the relative distance as measured by the observer in $\mathcal{S}$ must be the Lorentz contracted result of the distance measured in the rest frame $\mathcal{S}'$. Therefore it follows that the actual distance measured in the new rest frame must have increased after the journey by a factor γ_v . Argue that the change in the relative distance is thus independent of the details of the journey and of the time dependence of the applied forces.

Exercise 9.3: *Time lag and acceleration* – The time lag does not depend on the details of the journey either. To see this, prove that events which take place at the same time t but at different positions at a fixed relative distance h in the frame $\mathcal{S}$ are seen by an observer at rest in the frame $\mathcal{S}'$ with a time separation $\Delta t' = \beta\gamma h/c$. Consequently, the twins moving at fixed relative distance in $\mathcal{S}$ always give rise to the same time difference $\Delta t'$ in $\mathcal{S}'$, irrespective of the details of the trajectory in spacetime.

One may again try to appeal to some sort of symmetry between the two twins in order to argue that the above effects cannot possibly arise. However, the symmetry between the twins is affected by the fact that the force responsible for the acceleration has a certain direction; in this case it is directed upwards, from Caretaker to Adventurer. Therefore the initial symmetry is lost. There is another experiment, which hinges directly on the underlying postulates of special relativity, that shows that the symmetry between the twins is lost. Suppose that Caretaker sends a light beam towards Adventurer with frequency ν and let us assume that the relative velocity of the twins is zero (as is the case in the inertial frame $\mathcal{S}$ associated with the lower floor). It takes the light approximately h/c seconds to reach Adventurer, so that by that time Adventurer's velocity will have increased by

$$\Delta v_A \approx \frac{ah}{c}, \quad (9.11)$$

as a result of the acceleration. Consequently, Adventurer will observe a *lower* frequency as a result of the Doppler shift,

$$\nu_A \approx \nu \left(1 - \frac{ah}{c^2}\right), \quad (9.12)$$

Conversely, when Adventurer sends light beams to Caretaker, the frequency observed by the latter will increase by the same amount. Hence

$$\nu_C \approx \nu \left(1 + \frac{ah}{c^2}\right), \quad (9.13)$$

Thus this experiment clearly demonstrates the lack of symmetry between the twins during the time the acceleration is experienced.

10 Transformation of velocities

As explained in the previous section, a particle sweeps out a certain trajectory in a space-time diagram, defined by its position vector $\vec{r}(t)$, measured in some inertial frame $\mathcal{S}$ as a function of the corresponding time t . Its velocity vector is then equal to

$$\vec{u}(t) = \frac{d\vec{r}(t)}{dt}. \quad (10.1)$$

In some other inertial frame $\mathcal{S}'$, the particle sweeps out a trajectory defined in terms of $\vec{r}'(t')$, the position of the particle measured in the new frame as a function of the time t' relevant to that frame. In the previous section we demonstrated how to obtain $\vec{r}'(t')$. In this section we want to generally determine how the velocity of the particle changes from one inertial frame to another. To do so, let us consider two neighbouring points (events) on the trajectory separated by a small time increment Δt with corresponding position vectors $\vec{r}(t)$ and $\vec{r}(t + \Delta t)$. In $\mathcal{S}'$ these two events correspond to $\vec{r}'(t')$ and $\vec{r}'(t' + \Delta t')$ with $\Delta t'$ the corresponding time increment in $\mathcal{S}'$. The coordinates and the times in the two frames are related by the Lorentz transformation (8.4), where $\vec{v}$ is the relative velocity of the two frames, whose clocks have been synchronized at $t = t' = 0$ in the usual way.

The space coordinates and the time measured for each of the events in the two frames, are related by the Lorentz transformation. Hence, for the first event we have

$$\begin{aligned} \vec{r}'_{\parallel}(t') &= \gamma_v \left(\vec{r}_{\parallel}(t) - \vec{v}t \right), & \vec{r}'_{\perp}(t') &= \vec{r}_{\perp}(t), \\ t' &= \gamma_v \left(t - \frac{\vec{v} \cdot \vec{r}(t)}{c^2} \right), \end{aligned} \quad (10.2)$$

and, for the second event,

$$\begin{aligned} \vec{r}'_{\parallel}(t' + \Delta t') &= \gamma_v \left(\vec{r}_{\parallel}(t + \Delta t) - \vec{v}(t + \Delta t) \right), & \vec{r}'_{\perp}(t' + \Delta t') &= \vec{r}_{\perp}(t + \Delta t), \\ t' + \Delta t' &= \gamma_v \left(t + \Delta t - \frac{\vec{v} \cdot \vec{r}(t + \Delta t)}{c^2} \right). \end{aligned} \quad (10.3)$$

When Δt is sufficiently small we can write $\vec{r}(t + \Delta t) \approx \vec{r}(t) + \Delta t \vec{u}(t)$. Therefore it follows from (10.2) and (10.3) that

$$\Delta t' \approx \gamma_v \left(1 - \frac{\vec{u}(t) \cdot \vec{v}}{c^2} \right) \Delta t, \quad (10.4)$$

so that $\Delta t'$ is proportional to Δt , as expected. Likewise we obtain (10.2) and (10.3),

$$\begin{aligned} \vec{r}'_{\parallel}(t' + \Delta t') - \vec{r}'_{\parallel}(t') &\approx \gamma_v \left(\vec{u}_{\parallel}(t) - \vec{v} \right) \Delta t, \\ \vec{r}'_{\perp}(t' + \Delta t') - \vec{r}'_{\perp}(t') &\approx \vec{u}_{\perp}(t) \Delta t, \end{aligned} \quad (10.5)$$

Using the definition of the velocity vector in the $\mathcal{S}'$ frame (cf. (10.1)),

$$\vec{u}'(t') = \frac{d\vec{r}'(t')}{dt'}, \quad (10.6)$$

we can approximate the left-hand side of (10.5) by $\Delta t' \vec{u}'(t')$. After dividing by $\Delta t'$ and using (10.4) we thus determine $\vec{u}'(t')$

Before giving the result we note that we could have derived the same result directly by differentiating (10.2). This leads to

$$\begin{aligned} \frac{d\vec{r}'_{\parallel}(t')}{dt} &= \gamma_v \left(\frac{d\vec{r}_{\parallel}(t)}{dt} - \vec{v} \right) = \gamma_v (\vec{u}_{\parallel}(t) - \vec{v}), \\ \frac{d\vec{r}'_{\perp}(t')}{dt} &= \frac{d\vec{r}_{\perp}(t)}{dt} = \vec{u}_{\perp}(t), \end{aligned} \quad (10.7)$$

and

$$\frac{dt'}{dt} = \gamma_v \left(1 - \frac{\vec{u}(t) \cdot \vec{v}}{c^2} \right). \quad (10.8)$$

On the other hand we may use the chain rule and write

$$\frac{d\vec{r}'(t')}{dt} = \left(\frac{dt'}{dt} \right) \frac{d\vec{r}'(t')}{dt'} = \gamma_v \left(1 - \frac{\vec{u}(t) \cdot \vec{v}}{c^2} \right) \vec{u}'(t'), \quad (10.9)$$

where we made use of (10.8) and (10.6).

Combining the above results, we find the following transformation rule for the velocities

$$\begin{aligned} \vec{u}'_{\parallel} &= \frac{\vec{u}_{\parallel} - \vec{v}}{1 - \frac{\vec{u} \cdot \vec{v}}{c^2}}, \\ \vec{u}'_{\perp} &= \frac{\vec{u}_{\perp}}{\gamma_v \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right)}. \end{aligned} \quad (10.10)$$

As an example let us first apply (10.10) to the case where $\vec{u}$ and $\vec{v}$ are parallel. Then $\vec{u}_{\perp} = 0$ and the first equation (10.10) coincides precisely with our previous result for the addition of parallel velocities. Observe that when $\vec{u}$ and $\vec{u}'$ are not constant, they refer to the velocities taken at corresponding points of the space-time trajectory.

By means of this result we can determine how $\vec{u}^2$ changes under Lorentz transformations. Rather than $\vec{u}^2$ we are interested in the change of the corresponding gamma factor,

$$\gamma_u \equiv \frac{1}{\sqrt{1 - \frac{\vec{u}^2}{c^2}}}, \quad (10.11)$$

which is a result that we will need shortly. From (10.10) it follows that

$$\gamma_{u'} = \gamma_u \gamma_v \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right). \quad (10.12)$$

The proof of this goes as follows. For convenience we assume that $\vec{v}$ is directed along the positive x -axis. Making use of (10.10) we first calculate $\vec{u}'^2$,

$$\vec{u}'^2 = \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right)^{-2} \left\{ (u_x - v)^2 + \frac{u_y^2}{\gamma_v^2} + \frac{u_z^2}{\gamma_v^2} \right\}. \quad (10.13)$$

Subsequently we substitute

$$u_y^2 + u_z^2 = \vec{u}^2 - u_x^2, \text{ and } u_x = \frac{\vec{u} \cdot \vec{v}}{v}, \quad (10.14)$$

and write (10.13) in terms of the length of $\vec{u}$ and $\vec{v}$ and their inner product. Then we verify that the following equation holds

$$\left(1 - \frac{\vec{u}'^2}{c^2} \right) = \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right)^{-2} \left(1 - \frac{\vec{u}^2}{c^2} \right) \left(1 - \frac{\vec{v}^2}{c^2} \right). \quad (10.15)$$

From this result we obtain (10.12). In order to check the validity of (10.12) we substitute $\vec{u} = \vec{v}$. This leads to the expected result $\gamma_{u'} = 1$.

11 Energy and momentum

Previously we found that the addition of two velocities smaller than c always gives a combined velocity that is still smaller than c . This result means that a particle moving at some velocity $v < c$ will move at a velocity smaller than c in any inertial frame which itself moves at a relative velocity smaller than c . Therefore it appears that one is led to the conclusion that the velocity of a material body can never be equal to or larger than the velocity of light. On the other hand, by applying a constant force on a particle, its velocity is expected to increase indefinitely, and it is not clear a priori why it cannot acquire just any velocity by applying the force for a sufficiently long period of time. Similarly, one expects that the momentum and the energy of a particle will increase indefinitely by applying some constant force on it.

In order to understand these issues we must reexamine how the laws of physics that apply to low velocities are modified at velocities comparable to the speed of light. As it turns out, the value of the momentum and the energy of a particle can increase indefinitely but the relation between the energy, the momentum and the velocity of a particle is such that the velocity is restricted to take values smaller than the velocity of light. In this section we derive the relativistic expressions for the momentum and the energy of a (pointlike) particle with mass m and velocity $\vec{u}$. We will do this by considering an elastic collision between two such particles in two different inertial frames.

From standard Newtonian mechanics we know that the motion of these particles is characterized entirely by their momentum and energy, which are expressed in terms of the velocity vector $\vec{u}$ and the mass m by

$$\vec{p} = m\vec{u}, \quad E = \frac{1}{2}m\vec{u}^2 = \frac{\vec{p}^2}{2m}. \quad (11.1)$$

As indicated above these expressions are no longer applicable for relativistic velocities. In order to find the correct definitions we parametrize the momentum and energy as follows,

$$\vec{p} = m f(\gamma_u) \vec{u}, \quad E = mc^2 g(\gamma_u), \quad (11.2)$$

where we made use of dimensional arguments, while f and g are two, yet unknown, dimensionless functions of

$$\gamma_u = \frac{1}{\sqrt{1 - \frac{\vec{u}^2}{c^2}}}. \quad (11.3)$$

The functions f and g depend therefore only on the magnitude of the velocity, and not of its direction. Consequently, the momentum and the velocity are proportional to each other (i.e. $\vec{p} \parallel \vec{u}$) with a proportionality factor that depends only on the magnitude of the velocity. Also the energy depends on the magnitude, but not on the direction of the velocity. Hence the definition of momentum and energy do not depend on the spatial orientation.

We now require that, in every inertial frame, Newton's second law is valid,

$$\vec{F} = \frac{d\vec{p}}{dt}, \quad (11.4)$$

where $\vec{F}$ is the force applied to the particle in a given inertial frame. From this it follows that (in every inertial frame) the total momentum does not change in a collision of two particles, i.e.,

$$\vec{p}_1 + \vec{p}_2 = \vec{p}_3 + \vec{p}_4, \quad (11.5)$$

where $\vec{p}_1$ and $\vec{p}_2$ denote the momenta of the *incoming*, and $\vec{p}_3$ and $\vec{p}_4$ the momenta of the *outgoing* particles. Furthermore we assume that the collision is elastic, so that we have conservation of energy,

$$E_1 + E_2 = E_3 + E_4. \quad (11.6)$$

Our aim is to determine the functions f and g from the requirement that the collision process is described correctly in every inertial frame by the conservation laws of energy and momentum. To that order we consider two colliding equal-mass particles in the center-of-mass frame $\mathcal{S}$, so that their velocities satisfy the relation $\vec{u}_1 = -\vec{u}_2 \equiv \vec{u}$. After the collision the direction of motion of the particles is rotated over an angle of 90° , with velocities $\vec{w}_1 = -\vec{w}_2 \equiv \vec{w}$. Owing to energy conservation the velocities $\vec{u}$ en $\vec{w}$ must be of equal magnitude: $u = w$.

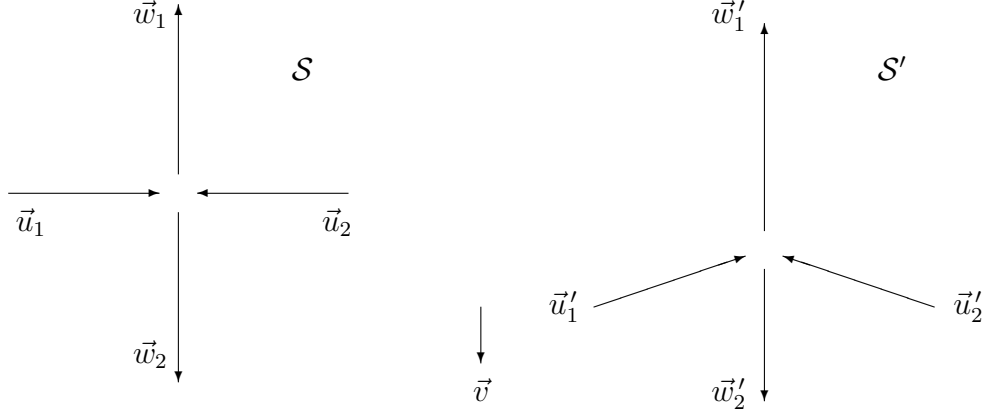


Figure 10: Elastic collision of two equal-mass particles viewed in two different inertial frames. In the figure the velocity vector $\vec{v}$ is directed along the vector $\vec{w}_2$.

Consider now the same collision, but now by an observer at rest in an inertial frame $\mathcal{S}'$ that moves with a velocity $\vec{v}$ with respect to $\mathcal{S}$. We choose $\vec{v}$ parallel to $\vec{w}_1$ and $\vec{w}_2$ (see figure 10). The velocities of the incoming and outgoing particles in $\mathcal{S}'$ are then given by (we make use of (10.10))

$$\vec{u}'_1 = \vec{u}'_{1\perp} + \vec{u}'_{1\parallel} = \frac{\vec{u}}{\gamma_v} - \vec{v}, \quad \vec{u}'_2 = \vec{u}'_{2\perp} + \vec{u}'_{2\parallel} = \frac{-\vec{u}}{\gamma_v} - \vec{v}, \quad (11.7)$$

and

$$\vec{w}'_1 = \vec{w}'_{1\perp} + \vec{w}'_{1\parallel} = \frac{\vec{w} - \vec{v}}{1 - \frac{\vec{w} \cdot \vec{v}}{c^2}}, \quad \vec{w}'_2 = \vec{w}'_{2\perp} + \vec{w}'_{2\parallel} = \frac{-\vec{w} - \vec{v}}{1 + \frac{\vec{w} \cdot \vec{v}}{c^2}}. \quad (11.8)$$

Let us first verify that the classical definition (11.1) for the momentum no longer leads to momentum conservation in $\mathcal{S}'$. In that case the total momentum of the incoming particles is equal to

$$\vec{p}'_1 + \vec{p}'_2 = m\vec{u}'_1 + m\vec{u}'_2 = -2m\vec{v}, \quad (11.9)$$

whereas the total momentum of the outgoing particles is given by

$$\vec{p}'_3 + \vec{p}'_4 = m\vec{w}'_1 + m\vec{w}'_2 = \frac{m\vec{w} - m\vec{v}}{1 - \frac{\vec{w} \cdot \vec{v}}{c^2}} + \frac{-m\vec{w} - m\vec{v}}{1 + \frac{\vec{w} \cdot \vec{v}}{c^2}}. \quad (11.10)$$

It is convenient to use the parametrization $\vec{w} = \eta \vec{v}$, with η some constant (taken negative in figure 11.1). Then the previous result can be written as

$$\vec{p}'_3 + \vec{p}'_4 = -m\vec{v} \left[\frac{1 - \eta}{1 - \eta v^2/c^2} + \frac{1 + \eta}{1 + \eta v^2/c^2} \right]. \quad (11.11)$$

Now one can easily verify that $\vec{p}'_1 + \vec{p}'_2$ and $\vec{p}'_3 + \vec{p}'_4$ are only equal if $v^2 = c^2$ or if $\vec{w}$ or $\vec{v}$ vanish. Hence we can have no momentum conservation except in extreme cases.

Let us once more follow the same reasoning, but now assuming that the momentum vector is defined by (11.2). First we recall that γ_u changes under a Lorentz transformation associated with an arbitrary velocity $\vec{v}$ according to

$$\gamma_{u'} = \gamma_u \gamma_v \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right). \quad (11.12)$$

This result is given in (10.12). The total momentum of the incoming particles is now equal to

$$\begin{aligned} \vec{p}'_1 + \vec{p}'_2 &= m f(\gamma_{u'_1}) \vec{u}'_1 + m f(\gamma_{u'_2}) \vec{u}'_2 \\ &= m f(\gamma_u \gamma_v) \left(\frac{\vec{u}}{\gamma_v} - \vec{v} \right) + m f(\gamma_u \gamma_v) \left(\frac{-\vec{u}}{\gamma_v} - \vec{v} \right) \\ &= -2m f(\gamma_u \gamma_v) \vec{v}, \end{aligned} \quad (11.13)$$

whereas the total momentum of the outgoing particles is given by

$$\begin{aligned} \vec{p}'_3 + \vec{p}'_4 &= m f(\gamma_{w'_1}) \vec{w}'_1 + m f(\gamma_{w'_2}) \vec{w}'_2 \\ &= m f(\gamma_u \gamma_v (1 - \frac{\vec{w} \cdot \vec{v}}{c^2})) \frac{\vec{w} - \vec{v}}{1 - \frac{\vec{w} \cdot \vec{v}}{c^2}} + m f(\gamma_u \gamma_v (1 + \frac{\vec{w} \cdot \vec{v}}{c^2})) \frac{-\vec{w} - \vec{v}}{1 + \frac{\vec{w} \cdot \vec{v}}{c^2}}, \end{aligned} \quad (11.14)$$

where we made use of $\gamma_u = \gamma_w$. Momentum conservation in $\mathcal{S}'$ implies that (11.13) and (11.14) should be equal, irrespective of the magnitude of the vector $\vec{v}$. This means that the unknown function f must satisfy the relation

$$-2f(\gamma_u \gamma_v) \vec{v} = f(\gamma_u \gamma_v (1 - \frac{\vec{w} \cdot \vec{v}}{c^2})) \frac{\vec{w} - \vec{v}}{1 - \frac{\vec{w} \cdot \vec{v}}{c^2}} + f(\gamma_u \gamma_v (1 + \frac{\vec{w} \cdot \vec{v}}{c^2})) \frac{-\vec{w} - \vec{v}}{1 + \frac{\vec{w} \cdot \vec{v}}{c^2}}, \quad (11.15)$$

for any velocity $\vec{v}$ parallel to $\vec{w}$.

An obvious solution of (11.15) is given by $f(\gamma) = C \gamma$ with C some arbitrary constant. In fact this turns out to be the most general solution as well¹². In order to obtain agreement for small velocities with the classical definition of the momentum (cf. (10.1)) we choose $C = 1$, so that

$$\begin{aligned} \vec{p} &= m \gamma_u \vec{u} \\ &= \frac{m \vec{u}}{\sqrt{1 - u^2/c^2}} \end{aligned}$$

¹²In order to prove this, show that $f(\gamma)$ satisfies the differential equation $f' = \gamma^{-1} f$ by differentiating (11.15) with respect to v and putting $v = 0$ afterwards. The general solution of this first-order differential equation is $f(\gamma) = C \gamma$.

$$\approx m\vec{u} \left\{ 1 + O((u/c)^2) \right\} . \quad (11.16)$$

The derivation of the relativistic expression for the energy proceeds in a similar way. According to (11.2), the energy of the two incoming particles is equal to

$$\begin{aligned} E'_1 + E'_2 &= mc^2 g(\gamma_{u'_1}) + mc^2 g(\gamma_{u'_2}) \\ &= 2mc^2 g(\gamma_u \gamma_v) , \end{aligned} \quad (11.17)$$

while the total energy of the outgoing particles is given by

$$\begin{aligned} E'_3 + E'_4 &= mc^2 g(\gamma_{w'_1}) + mc^2 g(\gamma_{w'_2}) \\ &= mc^2 g(\gamma_u \gamma_v (1 - \frac{\vec{w} \cdot \vec{v}}{c^2})) + mc^2 g(\gamma_u \gamma_v (1 + \frac{\vec{w} \cdot \vec{v}}{c^2})) , \end{aligned} \quad (11.18)$$

Energy conservation in $\mathcal{S}'$ implies therefore that

$$2g(\gamma_u \gamma_v) = g(\gamma_u \gamma_v (1 - \frac{\vec{w} \cdot \vec{v}}{c^2})) + g(\gamma_u \gamma_v (1 + \frac{\vec{w} \cdot \vec{v}}{c^2})) . \quad (11.19)$$

The general solution of this equation is $g(\gamma) = C_1 \gamma + C_2$, with C_1 and C_2 two arbitrary constants¹³. We choose the constant $C_1 = 1$ in order to obtain agreement with the classical expression for the kinetic energy (cf. 10.1). To verify this, note that the energy acquires the following form,

$$E = mc^2 \gamma_u + \text{constant} = \frac{mc^2}{\sqrt{1 - u^2/c^2}} + \text{constant} . \quad (11.20)$$

For small velocities, E becomes equal to

$$E \approx \text{constant} + \frac{1}{2} m \vec{u}^2 \left\{ 1 + O((u/c)^2) \right\} , \quad (11.21)$$

which does indeed yield the classical expression for the kinetic energy, $\frac{1}{2} m \vec{u}^2$ up to an additive constant. We shall choose the constant C_2 equal to 0, so that

$$\begin{aligned} E &= mc^2 \gamma_u \\ &= \frac{mc^2}{\sqrt{1 - u^2/c^2}} \\ &\approx mc^2 + \frac{1}{2} m \vec{u}^2 \left\{ 1 + O((u/c)^2) \right\} . \end{aligned} \quad (11.22)$$

In this way we find a *rest energy* E_0 of a particle equal to

$$E_0 = mc^2 . \quad (11.23)$$

¹³From (11.19) one derives that g satisfies the differential equation $g'' = 0$ by differentiating twice with respect to v and putting $v = 0$ afterwards. This second-order differential equation has the general solution $g(\gamma) = C_1 \gamma + C_2$.

This choice for the rest energy does not follow from the study of elastic collisions, but it can be justified from decay processes where for instance an unstable particle with mass M decays into two particles of smaller mass m . It also follows from the general argument given below.

Under a Lorentz transformation the momentum and energy as found in (11.15) en (11.21), change in a way that is similar to the transformation of space and time. This can directly be verified by using (10.10) and (10.12). For a Lorentz transformation with velocity $\vec{v}$ we find

$$\begin{aligned}\vec{p}'_{\parallel} &= \gamma_v \left(\vec{p}_{\parallel} - \frac{\vec{v}}{c^2} E \right), & \vec{p}'_{\perp} &= \vec{p}_{\perp}, \\ E' &= \gamma_v (E - \vec{v} \cdot \vec{p}),\end{aligned}\tag{11.24}$$

where $\vec{p}_{\parallel}$ and $\vec{p}_{\perp}$ are the components of the momentum vector parallel and perpendicular to $\vec{v}$. When comparing the transformation (11.23) with the Lorentz transformation as derived previously, we observe that $\vec{p}$ and E/c transform in the same way as $\vec{r}$ and ct . Because the transformation (11.24) is linear we know that a linear combination of momenta and corresponding energies that is equal to zero in one inertial frame (such as, for instance, in (11.5) en (11.6)), will be equal to zero in any other inertial frame. In other words, the expressions for the momentum and energy that we have found by considering one particular collision process in two different frames, will also give the correct description for more general collisions processes.

Finally we observe that E and $\vec{p}$ satisfy the Lorentz invariant condition

$$\vec{p}^2 - \frac{E^2}{c^2} = -m^2 c^2,\tag{11.25}$$

as follows from the definitions (11.15) and (11.21). Because the energy is positive we find the expression

$$E = c\sqrt{\vec{p}^2 + m^2 c^2}.\tag{11.26}$$

An alternative expression which is often convenient, is

$$E = \sqrt{\vec{p}^2 c^2 + E_0^2},\tag{11.27}$$

where E_0 is the rest energy defined in (11.23).

We can also verify the correctness of the relativistic expression for energy in another way. In a given inertial frame the work done by a force on a particle per unit time is equal to

$$\frac{dE}{dt} = \vec{F} \cdot \frac{d\vec{r}}{dt} = \vec{F} \cdot \vec{u},\tag{11.28}$$

where $\vec{u}$ is the instantaneous velocity of the particle. Using Newton's law (11.4) then gives rise to

$$\frac{dE}{dt} = \vec{u} \cdot \frac{d\vec{p}}{dt}$$

$$\begin{aligned}
&= \frac{d}{dt}(\vec{u} \cdot \vec{p}) - \left(\frac{d}{dt}\vec{u}\right) \cdot \vec{p} \\
&= \frac{d}{dt}(\vec{u} \cdot \vec{p}) + \frac{d}{dt}(mc^2\sqrt{1-u^2/c^2}) \\
&= \frac{d}{dt} \frac{mc^2}{\sqrt{1-u^2/c^2}}.
\end{aligned} \tag{11.29}$$

This equation is in agreement with the result found in (11.21).

12 Particles with zero mass

A particle with energy E and momentum $\vec{p}$ has a velocity equal to

$$\vec{u} = \frac{c^2\vec{p}}{E}. \tag{12.1}$$

Consequently, for particles that move with the velocity of light, one has

$$E = pc. \tag{12.2}$$

By means of (11.25) we then derive that such particles have no mass. This is quite a new phenomenon, which we did not consider until now, because we always assumed that material objects have a velocity smaller than that of light. Some of the formulae that we have derived so far are no longer applicable, such as, for instance, (11.15) and (11.21), because for massless particles we have $m = 0$ and $\gamma = \infty$. Because these particles propagate with the speed of light, which does not change under a Lorentz transformation, there is no observer for which they are at rest.

The above arguments indicate that a particle interpretation of light can be consistent with the theory of relativity. Indeed we speak of "photons", the particles associated with light. Other massless particles in Nature are the so-called "gravitons", the particles associated with the gravitational field, and "neutrinos", particles that appear in radioactive "beta" decay.

Let us examine how the energy of a massless particle changes under a Lorentz transformation. With help of (11.23) we derive

$$\begin{aligned}
E' &= \gamma_v(E - \vec{v} \cdot \vec{p}) \\
&= \gamma_v(1 - \beta)E \\
&= \sqrt{\frac{1 - \beta}{1 + \beta}} E,
\end{aligned} \tag{12.2}$$

where we assumed that $\vec{v}$ and $\vec{p}$ are parallel and we used (12.2). The energy thus transforms in the same way as the frequency of a light wave according to the relativistic (longitudinal) Doppler effect (cf. 4.2). This is consistent with Planck's equation, according to which the energy and the frequency ν of a photon are proportional,

$$E = h\nu. \tag{12.4}$$

The proportionality constant h is called Planck's constant.

13 The concept of mass

We already used Newton's second law

$$\vec{F} = \frac{d\vec{p}}{dt}, \quad (13.1)$$

which relates the applied force to the change of momentum per unit time. This form of Newton's law, which we assumed valid in any inertial frame, thus takes the same form as in nonrelativistic classical mechanics. However, its consequences are rather different, as we should now use the relativistic definition of the momentum vector. To examine this, just substitute (11.15) into (13.1),

$$\begin{aligned} \vec{F} &= \frac{d}{dt} \left(\frac{m\vec{u}}{\sqrt{1 - u^2/c^2}} \right) \\ &= m \left\{ \gamma_u \vec{a} + \gamma_u^3 c^{-2} (\vec{u} \cdot \vec{a}) \vec{u} \right\} \\ &= m\gamma_u \vec{a}_\perp + m\vec{a}_\parallel \left(\gamma_u + \gamma_u^3 \frac{u^2}{c^2} \right), \end{aligned} \quad (13.1)$$

where $\vec{a}$ is the acceleration

$$\vec{a} = \frac{d\vec{u}}{dt} = \vec{a}_\perp + \vec{a}_\parallel. \quad (13.3)$$

Contrary to the classical situation, the acceleration and the force are no longer parallel. Decomposing (13.2) in vectors parallel and perpendicular to the velocity $\vec{u}$, (13.2) takes the form

$$\begin{aligned} \vec{F}_\parallel &= m\gamma_u^3 \vec{a}_\parallel, \\ \vec{F}_\perp &= m\gamma_u \vec{a}_\perp. \end{aligned} \quad (13.2)$$

Usually the ratio between the force and the acceleration is called the *inertial mass*. Using the same terminology we thus conclude that the inertial mass of a particle is not defined. It is, however, possible to introduce a longitudinal and a transverse inertial mass based on (13.2), which are equal to

$$m_{\text{transverse}} = m\gamma_u, \quad m_{\text{longitudinal}} = m\gamma_u^3. \quad (13.5)$$

Note that both inertial masses tend to infinity when the velocity approaches the velocity of light. This is the reason that we can never accelerate particles to or beyond the velocity of light.

At this point we have thus encountered three types of masses already. The mass denoted by m , which is sometimes called *rest mass*, is the mass that we are used to in classical physics. It does not depend on the velocity of the particle, but is a material constant which depends only on the type and the amount of matter of which the particle is composed. Then we have two types of inertial masses as defined in (13.5), which do depend on the

velocity of the particle. To make matters even more confusing, we sometimes use the so-called *invariant mass* for systems consisting of several particles moving at some relative velocities. The notion of invariant mass will be explained later in the second part of these lectures.

Then finally, one uses the term *gravitational mass* for the masses that enter Newton's law for the gravitational force between two bodies,

$$\vec{F}_{\text{grav}} = -G_N M_1 M_2 \frac{\vec{r}}{r^3}, \quad (13.6)$$

where G_N is Newton's constant, equal to $6.7 \times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ sec}^{-2}$ and r is the distance between the two bodies. However, this expression is incorrect in the relativistic context, and there is no such thing as gravitational mass. The correct expression follows from the theory of *general relativity*. According to this theory, a body of small mass travelling at relativistic velocity with energy E and velocity $\vec{v} = c\vec{\beta}$ in the gravitational field of a (spherically symmetric) object with very large mass M , feels a force

$$\vec{F}_{\text{grav}} = -G_N M \frac{E}{c^2} \frac{(1 + \beta^2)\vec{r} - (\vec{\beta} \cdot \vec{r})\vec{\beta}}{r^3}, \quad (13.7)$$

in the rest frame of the heavy object. For small β this result reduces to (13.6). When $\beta \approx 1$, the force is not directed along $\vec{r}$. The classical notion of gravitational mass is thus undefined, although one may introduce a longitudinal and a transverse gravitational mass, just as we did above for the inertial mass. Note that (13.7) is applicable for massless particles, such as photons, in the gravitational field of a heavy object, such as the sun. The fact that massless particles feel a gravitational force shows clearly that the ordinary mass and the gravitational mass are intrinsically unrelated quantities¹⁴.

The question does remain, however, why the actual value for the inertial and the gravitational mass is the same for a body at rest. This question has motivated many experiments. The oldest one is by Galileo, who discovered that bodies fall at a velocity that is independent of their mass. Newton also considered the possibility that the inertial and gravitational masses are not quite equal. Then, at the end of the nineteenth century, Eötvös performed a series of famous experiments, comparing the centripetal acceleration due to the earth's rotation to the gravitational acceleration of the earth, which allowed him to show that the ratio of the inertial and the gravitational mass is universal: up to 10^{-9} he found the same ratio for all materials that he investigated. More modern methods employ the gravitational field from the sun and the earth's rotation around the sun and arrive at the same conclusion, but with an even higher degree of accuracy.

The equality of the inertial and gravitational masses led Einstein to formulate the *equivalence principle*, which formed the starting point for his construction of the theory of general relativity¹⁵. Einstein noted that an observer in free fall does not note a gravitational

¹⁴For a discussion of the various notions of mass and the unnecessary confusion caused by certain textbook treatments, see L.B. Okun, *Physics Today*, June 1989 and May 1990 issues.

¹⁵A. Einstein, *Jahrb. Rad. Elektr.* **4** (1907) 411; *Ann. der Physik* **35** (1911) 898, **38** (1912) 355.

field. Because of the equality of the inertial and gravitational masses, all objects around him will stay at rest or will move with constant velocity. Observe that this is typical for gravitational forces. For instance the orbits of charged particles subject to electromagnetic forces always depend on the ratio of their electric charge and their inertial mass. So when an observer is at rest relative to some charged particle, he does not observe the same phenomenon as other particles with a different charge-mass ratio will still be accelerated.

According to the equivalence principle, it is always possible to choose – at least locally – an inertial frame in which the theory of special relativity holds. In Einstein’s words, the observer has the right to consider his state as one at rest and his immediate environment as field-free relative to gravitation.

The equivalence principle has far reaching consequences. It implies that many of the phenomena that can happen in a gravitational field, can equally well be described in terms of accelerated observers without a gravitational field present, a situation we discussed in section 9. For instance, from the discussion at the end of section 9, we may conclude that the time runs slower for an observer “deeper in a gravitational potential”. In that context the frequency shift (9.12) corresponds to the so-called gravitational red shift, provided the acceleration a is identified with the gravitational acceleration g . Unfortunately, a further discussion of the equivalence principle is beyond the scope of these lectures, which deal with the special theory of relativity. Nevertheless, it should be obvious from the above considerations that gravity has important implications for space and time!

PART II

14 The four-dimensional world

Events that take place at a certain point in space and at a certain time can formally be described as points in a four-dimensional vector space. This space is called the Minkowski space. Its coordinates are denoted by x^μ with $\mu = 1, 2, 3$ and 0 . The first three coordinates denote the usual space coordinates, say x , y and z , whereas x^0 is defined by

$$x^0 \equiv ct, \quad (14.1)$$

with c the velocity of light. Vectors in Minkowski space are called four-vectors.

Every particle follows a trajectory in Minkowski space. This trajectory describes the time evolution of the particle and is called the *world line*. For instance, the line $x^1 = x^2 = x^3 = 0$ describes a particle at rest in the origin of the coordinate frame, whereas the line defined by $x^1 = \beta x^0$, $x^2 = x^3 = 0$ describes a particle moving along the x -axis with constant velocity $v = \beta c$, which at time $t = 0$ passes through the origin.

Light signals move at straight lines under an angle of 45 degrees with the x^0 -axis. Light signals passing through the origin have coordinates that satisfy the equation

$$x^2 + y^2 + z^2 - c^2 t^2 = 0, \quad \text{or} \quad (x^1)^2 + (x^2)^2 + (x^3)^2 - (x^0)^2 = 0. \quad (14.2)$$

The surface defined by (14.2) is called the *light cone*.

Obviously there is some arbitrariness in the choice of coordinates of the Minkowski space. One may, for instance, choose a coordinate frame that is related to the previous one by a rotation of the coordinate axes associated with x^1 , x^2 and x^3 . However, one may also describe the events in a different inertial frame, so that the space and the time coordinates are related to the previous ones by a Lorentz transformation. This Lorentz transformation may be such that the origin of Minkowski space remains the same. This means that the time measured in the two inertial frames, denoted by t and t' , are both equal to zero when the origins of the two spatial coordinate frames coincide. In general Lorentz transformations transform straight lines into straight lines. This is so because the Lorentz transformations act linearly on the time and the spatial coordinates. This implies that particles moving at constant velocity in one inertial frame, move at constant velocity in any other frame. Furthermore points on the light cone remain on the light cone after a Lorentz transformation, because the condition (14.2) is Lorentz invariant.

As Lorentz transformations act linearly on the coordinates of the Minkowski space, it is convenient to introduce a matrix notation. First we rewrite the Lorentz transformation in the following form

$$\begin{aligned} \vec{x}' &= \vec{x} + (\gamma_v - 1) \frac{\vec{x} \cdot \vec{v}}{v^2} \vec{v} - \gamma_v x^0 \frac{\vec{v}}{c}, \\ (x^0)' &= \gamma_v \left(x^0 - \frac{\vec{x} \cdot \vec{v}}{c} \right). \end{aligned} \quad (14.3)$$

This expression may be written as

$$(x^\mu)' = \sum_{\nu} L^\mu{}_{\nu} x^\nu, \quad (14.4)$$

where $L^\mu{}_\nu$ is a four-by-four matrix. As we shall discuss below, we will use a notation where one distinguishes between upper and lower indices. Irrespective of whether the index is up or down, quantities with two indices can be written as matrices, by associating the first index to a "row" and the second one to a "column" index. In the case at hand, the matrix associated with $L^\mu{}_\nu$ is obtained by comparing (14.4) with (14.3). This reveals that $L^\mu{}_\nu$ can be decomposed as

$$L^\mu{}_\nu = \begin{pmatrix} \delta_{ij} + \frac{\gamma-1}{\beta^2} \beta_i \beta_j & -\gamma \beta_i \\ -\gamma \beta_j & \gamma \end{pmatrix}, \quad (14.5)$$

where i and j denote the first three index values of μ and ν , while

$$\beta_i = \frac{v_i}{c}, \quad \beta^2 = \sum_{i=1,2,3} (\beta_i)^2, \quad \gamma = \frac{1}{\sqrt{1-\beta^2}}. \quad (14.6)$$

The matrix (14.5) takes a complicated form. However, in this discussion we are not primarily interested in its explicit dependence on the velocity. Rather we want to systematically study the properties of the matrix L .

In the subsequent discussion a crucial role is played by the inner product

$$\begin{aligned} x \cdot y &\equiv x^1 y^1 + x^2 y^2 + x^3 y^3 - x^0 y^0 \\ &= \sum_{\mu,\nu} \eta_{\mu\nu} x^\mu y^\nu, \end{aligned} \quad (14.7)$$

where $\eta_{\mu\nu}$ is equal to

$$\eta_{\mu\nu} = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & -1 \end{pmatrix}. \quad (14.8)$$

One often uses the matrix $\eta_{\mu\nu}$ to define four-vectors with lower indices by¹⁶

$$x_\mu \equiv \eta_{\mu\nu} x^\nu = (\vec{x}, -x^0), \quad (14.9)$$

so that the inner product can be written as $x \cdot y = x_\mu y^\mu$. Observe that the inner product is not of definite sign; $x \cdot y$ can be either positive, negative or zero.

It is not difficult to show that the inner product is invariant under Lorentz transformations. We first observe that

$$\eta_{\nu\sigma} L^\sigma{}_\rho \eta^{\rho\mu} = \begin{pmatrix} \delta_{ij} + \frac{\gamma-1}{\beta^2} \beta_i \beta_j & \gamma \beta_i \\ \gamma \beta_j & \gamma \end{pmatrix} = (L^{-1})^\mu{}_\nu, \quad (14.10)$$

¹⁶From now on we use the summation convention, according to which one sums over repeated indices. We also note that the definition of (14.8) is not unique. One may find another definition in the literature which differs by a minus sign.

where $\eta^{\mu\nu}$ is defined as the inverse of (14.8), and is thus equal to the same matrix. Multiplication of (14.10) with $\eta_{\mu\tau} L^\nu{}_\lambda$ gives rise to the following equation (after a renaming of indices)

$$L^\rho{}_\mu L^\sigma{}_\nu \eta_{\rho\sigma} = \eta_{\mu\nu}. \quad (14.11)$$

In matrix notation, (14.11) reads $L^T \eta L = \eta$, where L^T is the transpose of the matrix L . This result follows directly from identifying every first index with a row, and every second index with a column index.

From (14.11) we immediately conclude that the inner product is invariant under Lorentz transformations,

$$\begin{aligned} x' \cdot y' &= \eta_{\rho\sigma} L^\rho{}_\mu L^\sigma{}_\nu x^\mu y^\nu \\ &= \eta_{\mu\nu} x^\mu y^\nu \\ &= x \cdot y. \end{aligned} \quad (14.12)$$

Similarly, one proves that four-vectors with lower indices transform under Lorentz transformations according to

$$(x_\mu)' = (L^{-1})^\nu{}_\mu x_\nu, \quad (14.13)$$

Combining (14.9) and (14.13) shows once more that the inner product $x \cdot y = x_\mu y^\mu = x^\mu y_\mu$ is invariant.

We should stress here that the difference between vectors with upper and with lower indices is purely technical. Both vectors correspond to the same point in Minkowski space. Under a Lorentz transformation this point is changed into some other point, to which we can again associate a vector with upper and with lower indices. The change of this point in terms of a vector with upper indices is given by (14.4), while in the version with lower indices, it is described according to (14.13). Irrespective of the notation one adopts, the effect on the point in Minkowski space is the same. The identification of a point in Minkowski space with a vector with upper or lower indices follows from physics arguments, which prescribe how the Lorentz transformation acts on $\vec{x}$ and t (cf. (14.3)). Our conventions then dictate that x^0 is equal to ct and x_0 is equal to $-ct$.

Previously we already discovered that $x^2 \equiv x \cdot x = \vec{x} \cdot \vec{x} - c^2 t^2$ is invariant under Lorentz transformations. This quantity may be regarded as the norm of a four-vector, but obviously special care is required in view of the fact that x^2 is not necessarily positive for nonzero four-vectors. In (14.12) we have shown that not only $x \cdot x$ is invariant, but also the inner product $x \cdot y$ of any two four-vectors x and y .

One may wonder whether there exist matrices other than those defined in (14.5) which leave the inner product invariant. This is indeed the case. Such matrices should satisfy the condition (14.11), from which one easily proves that $(\det L)^2 = 1$, or

$$\det L = \pm 1. \quad (14.14)$$

One can show that the matrices (14.5) have determinant equal to $+1$. The corresponding transformations are called *special* Lorentz transformations. Another set of matrices with

determinant equal to $+1$ correspond to the rotations of the spatial coordinates, and take the form

$$L^\mu{}_\nu = \begin{pmatrix} R^i{}_j & 0 \\ 0 & 1 \end{pmatrix}. \quad (14.15)$$

Here the matrix $R^i{}_j$ represents a three-dimensional rotation. It turns out that the rotations and the special Lorentz transformations and their products constitute all the matrices with determinant equal to $+1$. All matrices with determinant equal to -1 can be written as a product of rotations and special Lorentz transformations with either one of the matrices

$$P = \begin{pmatrix} -1 & & & \\ & -1 & & \\ & & -1 & \\ & & & 1 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & -1 \end{pmatrix}. \quad (14.16)$$

The transformation P is called parity reversal and corresponds to a reflection of the spatial part of a four-vector. The transformation T is called time reversal. Products of the rotations, the special Lorentz transformations and the parity reversal transformation constitute the so-called *orthochroneous* Lorentz transformations, which are characterized by the fact that they leave the direction of time unchanged.

Let us now consider a special Lorentz transformation with its velocity directed along the x^1 -axis. It corresponds to the matrix

$$L^\mu{}_\nu = \begin{pmatrix} \gamma & 0 & 0 & -\gamma\beta \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\gamma\beta & 0 & 0 & \gamma \end{pmatrix}, \quad (14.17)$$

with $-1 \leq \beta \leq 1$ and $\gamma^{-2} = 1 - \beta^2$. It is sometimes convenient to use a different variable, namely $\beta = \tanh \chi$, so that

$$L^\mu{}_\nu = \begin{pmatrix} \cosh \chi & 0 & 0 & -\sinh \chi \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\sinh \chi & 0 & 0 & \cosh \chi \end{pmatrix}. \quad (14.18)$$

This result shows that the structure of the Lorentz transformation is somewhat reminiscent of an ordinary rotation in four dimensions. Indeed, (14.18) takes the form of a rotation upon replacing χ by $i\chi$. The correspondence with a rotation also follows from (14.10), which can be written in matrix notation as

$$L^{-1} = \eta L^T \eta, \quad (14.19)$$

where L^T denotes the transpose of L . If the matrix η were the identity matrix, then L would be an orthogonal matrix.

The representation (14.18) is convenient when considering several Lorentz transformations in the same direction. For instance, the product of a Lorentz transformation with parameter χ_1 and a Lorentz transformation with parameter χ_2 yields a similar transformation with parameter

$$\chi_3 = \chi_1 + \chi_2. \quad (14.20)$$

From this result we can verify the rule for the addition of parallel velocities. Namely we must have

$$\tanh \chi_3 = \tanh(\chi_1 + \chi_2) = \frac{\tanh \chi_1 + \tanh \chi_2}{1 + \tanh \chi_1 \tanh \chi_2}, \quad (14.21)$$

or

$$\beta_3 = \frac{\beta_1 + \beta_2}{1 + \beta_1 \beta_2}. \quad (14.22)$$

This formula agrees with the result found previously.

15 Physics in Minkowski space

Consider an observer at rest at the origin of the spatial coordinate frame. His world line coincides with the x^0 axis in Minkowski space. Other observers moving with constant velocity with respect to him follow trajectories in Minkowski space that are straight lines. Two separate events correspond to two separate points in Minkowski space, with coordinates x^μ and y^μ . It is meaningful to distinguish the following situations:

- The two points are separated by a “timelike distance”, meaning that $(x - y)^2 < 0$.
- The two points are separated by a “spacelike distance”, meaning that $(x - y)^2 > 0$.
- The two points are separated by a “lightlike distance”, meaning that $(x - y)^2 = 0$.

Because $(x - y)^2$ is invariant under Lorentz transformations, this classification is the same in any inertial frame. Let us now discuss the characteristic features of each of these three cases.

If $(x - y)^2 = 0$ then the two points are located on a possible world line of a photon. It is not possible by a Lorentz transformation to go to a frame where both points are either at the same place ($\vec{x} = \vec{y}$) or at the same time ($x^0 = y^0$).

If $(x - y)^2 > 0$ then it is possible to go to a Lorentz frame where the two points have equal time ($x^0 = y^0$). It is not possible to decide what the temporal sequence is of events that take place at x^μ and y^μ . By a Lorentz transformation we can adjust the time difference $x^0 - y^0$ to any value, positive or negative. On the other hand, it is not possible to bring the two points at the same place ($\vec{x} = \vec{y}$) by means of a Lorentz transformation. Hence the two points are always spatially separated.

If $(x - y)^2 < 0$ then it is always possible to go to a frame in which the two points are located at the same position in space ($\vec{x} = \vec{y}$). However, the two points will always be separate in time, and when we restrict ourselves to orthochronous Lorentz transformations, one event will always take place at an earlier time than the other event.

For an observer located at the origin of Minkowski space, there are three different and disconnected regions:

- *The Past*: this is the region where time is negative and $x^2 \leq 0$. Signals traveling at a speed smaller than or equal to the speed of light can reach the observer from anywhere in this region.
- *The Future*: this is the region where time is positive and $x^2 \leq 0$. Signals emitted by the observer at a speed less than or equal to the speed of light can reach every point in this region.
- *Elsewhere*: this is the region where time can be positive or negative and $x^2 > 0$. No signals that travel at a speed less than or equal to the speed of light can reach the observer from this region, and vice versa. Since we believe that no information can be transmitted at a speed larger than the speed of light, this region is causally not connected to the observer. Note, however, that when time evolves the observer moves through Minkowski space, such that signals from "elsewhere" may still be able to reach him, but at a some later time.

So far we have only considered trajectories in Minkowski space that are straight lines. Such trajectories correspond to particles which move at some constant velocity relative to an observer at rest whose trajectory is parallel to the x^0 -axis. However, one may also consider more complicated trajectories, corresponding to particles that do not travel at a constant velocity. Because their instantaneous velocity must always be smaller than the speed of light, these trajectories will always evolve such that the angle with the x^0 -axis is less than 45° . Let us consider such a trajectory parametrized in terms of some arbitrary parameter ξ . Hence we have four functions $x^\mu(\xi)$, specifying the value of the Minkowski coordinates for every value of ξ . Between ξ and $\xi + d\xi$, the particle is displaced by

$$d\vec{x} = \frac{\partial \vec{x}}{\partial \xi} d\xi, \quad (15.1)$$

while the elapsed time is equal to

$$dt = \frac{1}{c} \frac{\partial x^0}{\partial \xi} d\xi. \quad (15.2)$$

Therefore the instantaneous velocity equals

$$\vec{v}(\xi) = c \left(\frac{\partial x^0}{\partial \xi} \right)^{-1} \frac{\partial \vec{x}}{\partial \xi}. \quad (15.3)$$

On the other hand, the proper time, *i.e.* the time elapsed in the instantaneous rest frame of the particle, is equal to

$$\begin{aligned} d\tau &= \sqrt{1 - \vec{v}^2(\xi)/c^2} dt \\ &= \frac{1}{c} \sqrt{-\eta_{\mu\nu} \frac{\partial x^\mu}{\partial \xi} \frac{\partial x^\nu}{\partial \xi}} d\xi. \end{aligned} \quad (15.4)$$



Figure 11: Trajectories in Minkowski space. The trajectory (a) corresponds to an observer at rest, while the trajectory (b) corresponds to an observer who is initially at rest and is then accelerated to some constant velocity.

Therefore the proper time elapsed for a trajectory $x^\mu(\xi)$ between $x_1^\mu = x^\mu(\xi_1)$ and $x_2^\mu = x^\mu(\xi_2)$, is given by

$$\tau_2 - \tau_1 = \frac{1}{c} \int_{\xi_1}^{\xi_2} d\xi \sqrt{-\eta_{\mu\nu} \frac{\partial x^\mu}{\partial \xi} \frac{\partial x^\nu}{\partial \xi}} . \quad (15.5)$$

Observe that this quantity is manifestly invariant under Lorentz transformations. It is also reparametrization independent. By this we mean that if we substitute for the parameter ξ some function of another parameter ζ , this leads to the same expression (15.5) but now in terms of ζ .

16 Invariant mass

We already observed previously that the momentum $\vec{p}$ of a particle and its energy E divided by c , transform in the same fashion under a Lorentz transformation as the position $\vec{x}$ and the time t times c . Therefore it is convenient to define the four-vector of energy and momentum by

$$p^\mu = (\vec{p}, p^0) , \quad (16.1)$$

with

$$p^0 \equiv \frac{E}{c} . \quad (16.2)$$

Under Lorentz transformations, p^μ transforms as

$$(p^\mu)' = L^\mu{}_\nu p^\nu . \quad (16.3)$$

Inner products that involve the vector p^μ are again invariant under Lorentz transformations. In particular,

$$p^2 = \eta_{\mu\nu} p^\mu p^\nu = \vec{p} \cdot \vec{p} - \frac{E^2}{c^2}, \quad (16.4)$$

is invariant and determined by the rest mass of the particle according to

$$p^2 = -m^2 c^2. \quad (16.5)$$

Here we made use of

$$E = c p^0 = c \sqrt{\vec{p}^2 + m^2 c^2}. \quad (16.6)$$

For a single particle the four-momentum is thus confined to one sheet of an hyperboloid defined by

$$p^2 = -m^2 c^2, \quad p^0 \geq 0. \quad (16.7)$$

As long as the mass is nonzero, we can always, by means of a Lorentz transformation, choose a frame where $\vec{p} = 0$. This is the rest frame. However, for massless particles this is problematic, since this would require a singular transformation with $\beta = \pm 1$.

For two particles of mass m_1 and m_2 , the total momentum $p_{\text{tot}}^\mu = p_1^\mu + p_2^\mu$ is restricted to (see figure 12)

$$p_{\text{tot}}^2 \leq -(m_1 + m_2)^2 c^2, \quad p^0 \geq 0. \quad (16.8)$$

Although the value of p_{tot}^2 thus covers a continuous range of values for configurations of several particles, its actual value is invariant under Lorentz transformations. For this reason

$$M_{\text{inv}} = \sqrt{\frac{-p_{\text{tot}}^2}{c^2}} \quad (16.9)$$

is often called the *invariant mass*. Obviously, for a single particle there is no distinction between the invariant mass and the rest mass. Of course, the invariant mass can be defined for any system. For a system consisting of a number of free particles one can define a center-of-mass frame, where the total three-momentum of these particles is equal to zero,

$$\vec{p}_{\text{tot}} = \sum_i \vec{p}_i = 0. \quad (16.10)$$

Here the index i labels the various particles. The invariant mass is then given by

$$M_{\text{inv}} = \frac{1}{c} \sum_i p_i^0 = \frac{1}{c^2} \sum_i E_i^{\text{cm}}, \quad (16.11)$$

where E_i^{cm} denotes the energy of each particle as measured in the center-of-mass frame.

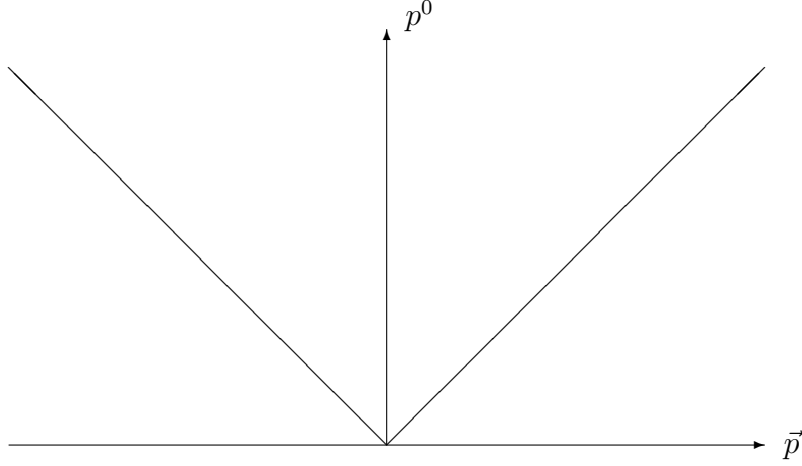


Figure 12: Diagram indicating the possible values of the momenta for particles with mass m_1 and m_2 , and the possible values for the total momentum of the two particles. The latter is restricted to the shaded region.

17 Transformation of forces

In any reference frame the force is defined according to Newton's law as the change of the momentum per unit time. Hence we have

$$\vec{F} = \frac{d\vec{p}}{dt}. \quad (17.1)$$

This definition allows us to determine the transformation character of a force under Lorentz transformations, by evaluating how the right-hand side of (17.1) changes under a Lorentz transformation.

Consider a particle with momentum p^μ travelling with velocity $\vec{u}$. Under a Lorentz transformation p^μ transforms according to

$$\vec{p}'_{\parallel} = \gamma_v \left(\vec{p}_{\parallel} - \frac{\vec{v}}{c^2} E \right), \quad \vec{p}'_{\perp} = \vec{p}_{\perp}, \quad (17.2)$$

where $\vec{p}_{\parallel}$ and $\vec{p}_{\perp}$ denote the momentum parallel and perpendicular to the velocity vector $\vec{v}$ associated with the Lorentz transformation. Now we differentiate (17.2) with respect to t ,

$$\begin{aligned} \frac{d\vec{p}'_{\parallel}}{dt} &= \gamma_v \left(\frac{d\vec{p}_{\parallel}}{dt} - \frac{\vec{v}}{c^2} \vec{u} \cdot \frac{d\vec{p}}{dt} \right), \\ \frac{d\vec{p}'_{\perp}}{dt} &= \frac{d\vec{p}_{\perp}}{dt}. \end{aligned} \quad (17.3)$$

where we used the equation¹⁷

$$\frac{dE}{dt} = \vec{u} \cdot \frac{d\vec{p}}{dt}. \quad (17.4)$$

On the other hand, we may use the Lorentz transformation (8.4) and evaluate

$$\begin{aligned} \frac{d\vec{p}'_{\parallel}}{dt} &= \left(\frac{dt'}{dt} \right) \frac{d\vec{p}'_{\parallel}}{dt'} \\ &= \gamma_v \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right) \frac{d\vec{p}'_{\parallel}}{dt'}, \end{aligned} \quad (17.5)$$

and likewise

$$\frac{d\vec{p}'_{\perp}}{dt} = \gamma_v \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right) \frac{d\vec{p}'_{\perp}}{dt'}. \quad (17.6)$$

Combining the above results, we thus find that

$$\begin{aligned} \frac{d\vec{p}'_{\parallel}}{dt'} &= \frac{\frac{d\vec{p}_{\parallel}}{dt} - \frac{\vec{v}}{c^2} \vec{u} \cdot \frac{d\vec{p}}{dt}}{1 - \frac{\vec{u} \cdot \vec{v}}{c^2}}, \\ \frac{d\vec{p}'_{\perp}}{dt'} &= \frac{\frac{d\vec{p}_{\perp}}{dt}}{\gamma_v \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right)}. \end{aligned} \quad (17.7)$$

Hence we conclude that a particle travelling with a velocity $\vec{u}$ and experiencing a force $\vec{F}$, will experience a force $\vec{F}'$ in another inertial frame, which is equal to

$$\begin{aligned} \vec{F}'_{\parallel} &= \frac{\vec{F}_{\parallel} - \frac{\vec{v}}{c^2} (\vec{u} \cdot \vec{F})}{1 - \frac{\vec{u} \cdot \vec{v}}{c^2}}, \\ \vec{F}'_{\perp} &= \frac{\vec{F}_{\perp}}{\gamma_v \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right)}. \end{aligned} \quad (17.8)$$

Observe that the first equation can also be written as

$$\vec{F}'_{\parallel} = \vec{F}_{\parallel} - \frac{\vec{u} \cdot \vec{F}_{\perp}}{1 - \frac{\vec{u} \cdot \vec{v}}{c^2}} \frac{\vec{v}}{c^2}, \quad (17.9)$$

¹⁷Note that this equation is equivalent to

$$p_{\mu} \frac{dp^{\mu}}{dt} = 0,$$

which follows directly from (16.5).

which shows that Lorentz transformations along the direction of the force leaves the force invariant. Note also that (17.8-9) simplify considerably when the original frame is the rest frame (i.e. $\vec{u} = 0$). In that case we obtain

$$\vec{F}'_{\parallel} = \vec{F}_{\parallel}, \quad \vec{F}'_{\perp} = \vec{F}_{\perp} \sqrt{1 - v^2/c^2}. \quad (17.10)$$

However, under general Lorentz transformations the forces change in a complicated fashion. This should be compared to the situation in Newtonian mechanics, where the forces remain invariant under Galilei transformations. Observe also that the transformation rule for a force vector is more complicated than that for a four-vector. Indeed, the force vector does not constitute a four-vector, and neither does the velocity vector. It is possible to define generalizations of the force and the velocity vector that can be extended to regular four-vectors, but their introduction does not always lead to further insight. For instance, a "velocity" four-vector is sometimes used which is equal to p^{μ}/m for massive particles. Furthermore a force four-vector can be defined by $K^{\mu} = dp^{\mu}/d\tau$. Modulo a gamma factor the first three components of these four-vectors then define the actual velocity and force three-vectors.

18 A charged particle in a uniform constant electric field

The equation of motion for a particle in a uniform and constant electric field $\vec{E}$, reads

$$\frac{d\vec{p}}{dt} = q \vec{E}. \quad (18.1)$$

Obviously the momentum perpendicular to the electric field remains constant. The solution of (18.1) equals

$$\vec{p}(t) = \vec{p}_{\perp} + q \vec{E} (t - t_0), \quad (18.2)$$

where t_0 is some arbitrary reference time at which the momentum parallel to $\vec{E}$ vanishes, and $\vec{p}_{\perp}$ denotes the components of the momentum perpendicular to $\vec{E}$. In terms of the velocity, (18.2) reads

$$\frac{m \vec{v}(t)}{\sqrt{1 - v^2(t)/c^2}} = \vec{p}_{\perp} + q \vec{E} (t - t_0). \quad (18.3)$$

Squaring this equation yields for the magnitude of the velocity,

$$v(t) = c \sqrt{\frac{\vec{p}_{\perp}^2 + q^2 (t - t_0)^2 \vec{E}^2}{m^2 c^2 + \vec{p}_{\perp}^2 + q^2 (t - t_0)^2 \vec{E}^2}}. \quad (18.4)$$

For t large, the velocity approaches the velocity of light c , in accord with the theory of relativity.

Let us simplify the situation and examine the case where the particle is at rest at $t = 0$. As $\vec{p}$, $\vec{v}$ and $\vec{E}$ are now all pointing into the same direction, we suppress the vector notation. The solution for $v(t)$ follows from equation (18.4),

$$v(t) = \frac{\frac{q E t}{m c}}{\sqrt{1 + \left(\frac{q E t}{m c}\right)^2}} c. \quad (18.5)$$

For small t the velocity increases linearly with time,

$$v(t) = \frac{q E}{m} t + O(t^3), \quad (18.6)$$

in accordance with the classical result. For large t the velocity approaches the velocity of light,

$$v(t) = c + O(t^{-2}), \quad (18.7)$$

We can also find the displacement of the particle (in the direction of the electric field) as a function of t by integrating (18.5),

$$x(t) = x(0) + \frac{m c^2}{q E} \left(\sqrt{1 + \left(\frac{q E t}{m c}\right)^2} - 1 \right). \quad (18.6)$$

For small t the displacement changes quadratically with the time,

$$x(t) = x(0) + \frac{q E t^2}{2 m} + O(t^4). \quad (18.7)$$

For large t , we have

$$x(t) = x(0) - \frac{m c^2}{q E} + c t + O(t^{-1}). \quad (18.8)$$

If we choose the origin of the coordinate frame such that

$$x(0) = \frac{m c^2}{q E}, \quad (18.9)$$

then

$$x^2(t) - c^2 t^2 = \left(\frac{m c^2}{q E} \right)^2. \quad (18.10)$$

With this choice of coordinates the particle follows a trajectory in Minkowski space whose points are all related by a Lorentz transformation.

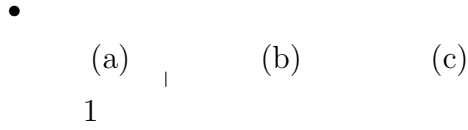


Figure 13: The velocity (a) and the displacement (b) of a particle initially at rest, in a uniform constant electric field as a function of t . Fig. (c) shows the trajectory followed by the particle in Minkowski space, with the coordinate frame specified in (18.9).

19 A charged particle in a uniform constant magnetic field

The equation of motion reads

$$\frac{d\vec{p}}{dt} = q \vec{v} \times \vec{B}, \quad (19.1)$$

where we used the formula for the Lorentz force. It implies that the momentum parallel to the magnetic field must be constant, as this force is perpendicular to the momentum. For the same reason $\vec{p}^2$ must be constant, because

$$\frac{d\vec{p}^2}{dt} = 2\vec{p} \cdot \frac{d\vec{p}}{dt} = 0. \quad (19.2)$$

Combining these results it follows that not only the magnitude of the total velocity is constant, and thus the gamma factor, but also the velocity component parallel to the magnetic field as well as the magnitude of the velocity vector perpendicular to the magnetic field. Only the direction of the perpendicular velocity changes in time and the particle will describe a circular motion in its projection on a plane perpendicular to the magnetic field.

To derive this result let us choose a coordinate frame in which $\vec{B}$ is directed along the positive z -axis, and write down the differential equation (19.1) for the velocity components in the x - y plane,

$$\frac{dv_x}{dt} = \frac{qB}{m\gamma_v} v_y,$$

$$\frac{dv_y}{dt} = -\frac{qB}{m\gamma_v}v_x. \quad (19.3)$$

It is convenient to adopt a 2×2 matrix notation and write (19.3) as

$$\frac{d}{dt}\vec{v}_\perp(t) = \text{sgn } q \begin{pmatrix} 0 & \omega \\ -\omega & 0 \end{pmatrix} \vec{v}_\perp(t), \quad (19.4)$$

where ω is the so-called cyclotron frequency, defined as

$$\omega = \left| \frac{qB}{m\gamma_v} \right|, \quad (19.5)$$

which depends on the energy of the particle. The solution of (19.3) is given by

$$\vec{v}_\perp(t) = \text{sgn } q \begin{pmatrix} \cos \omega t & \sin \omega t \\ -\sin \omega t & \cos \omega t \end{pmatrix} \vec{v}_\perp(0). \quad (19.6)$$

The transverse position of the particle follows from (19.6),

$$\vec{r}_\perp(t) = \vec{r}_\perp^0 + \frac{\text{sgn } q}{\omega} \begin{pmatrix} \sin \omega t & -\cos \omega t \\ \cos \omega t & \sin \omega t \end{pmatrix} \vec{v}_\perp(0), \quad (19.7)$$

where the particle follows a helical motion with transverse velocity $\vec{v}_\perp(0)$. The radius of this motion is equal to

$$R = \frac{v_\perp(0)}{\omega}. \quad (19.8)$$

The product of the radius and the magnetic field determines directly the transverse momentum of the particle, a phenomenon that is exploited in detectors to measure the momentum of a charged elementary particle,

$$BR = \frac{m\gamma_v v_\perp}{q} = \frac{p_\perp}{q}. \quad (19.9)$$

20 Charge and current densities

In the theory of electromagnetism one deals with electric and magnetic fields and with charge and current densities. In most cases all these quantities depend on space and time. The charge and current density $\rho(\vec{x}, t)$ and $\vec{J}(\vec{x}, t)$ define the distribution of electric charge. The charge density gives the charge per unit volume, while the current density gives the amount of charge crossing a unit area per unit time. According to experiments, electric charge is conserved. Thus the total electric charge contained in some volume can only change by the amount of charge moving across the boundary of that volume. This implies that the charge and current density are subject to the continuity equation,

$$\vec{\nabla} \cdot \vec{J} + \frac{\partial \rho}{\partial t} = 0. \quad (20.1)$$

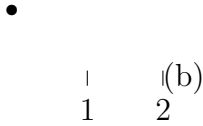


Figure 14: A charged particle moving in a uniform constant magnetic field.

In this section we discuss how these densities transform under Lorentz transformations. Obviously, according to the relativity postulate, we must require that electric charge is conserved in any inertial frame. This implies that the condition (20.1) must be Lorentz invariant.

In order to determine what this implies for the transformation rule for the charge and current densities, we must first determine how derivatives transform under Lorentz transformations. Consider some function $f(x)$ of the space-time coordinates x^μ . In another inertial frame we have a function f' , which is defined by the requirement that its value at the transformed coordinates $(x^\mu)'$, which follow from a corresponding Lorentz transformation, is equal to the value of the function f taken at the old coordinates. Hence we have

$$f'(x') = f(x). \quad (20.2)$$

Here we have implicitly assumed that the function f transforms as a scalar. For instance, if we had several functions f specifying the value of the components of some vector quantity, say the components of the electric field, then the definition (20.2) should be modified, because the components are always specified with respect to some reference system, which changes when we change the coordinate frame. These remarks will be useful shortly, but first we just consider a single function f .

It is now rather straightforward to determine how derivatives of a function transform under Lorentz transformations. First we define

$$\partial_\mu \equiv \frac{\partial}{\partial x^\mu} = \left(\frac{\partial}{\partial x^1}, \frac{\partial}{\partial x^2}, \frac{\partial}{\partial x^3}, \frac{\partial}{\partial x^0} \right) = \left(\vec{\nabla}, \frac{1}{c} \frac{\partial}{\partial t} \right). \quad (20.3)$$

Now we differentiate (20.2),

$$\frac{\partial}{\partial x^\mu} f(x) = \frac{\partial x'^\nu}{\partial x^\mu} \frac{\partial}{\partial x'^\nu} f'(x'). \quad (20.4)$$

This result still holds for any redefinition of x into x' . However, if x and x' are related by

a Lorentz transformation such as defined in (14.4), we write (20.4) as

$$\frac{\partial}{\partial x^\mu} f(x) = L^\nu{}_\mu \frac{\partial}{\partial x'^\nu} f'(x'), \quad (20.5)$$

or,

$$\partial'_\mu f'(x') = (L^{-1})^\nu{}_\mu \partial_\nu f(x). \quad (20.6)$$

Comparing this result to (20.2) shows that the derivative (20.3) transforms as a four-vector with *lower* indices (cf. 14.13).

Now it is easy to see how the charge and current densities should transform in order that the continuity equation (20.1) be invariant. Namely we combine the charge and current densities into a four-component vector J^μ with $J^0 = c \rho$, viz.,

$$J^\mu(x) = \left(\vec{J}(x), c \rho(x) \right), \quad (20.7)$$

which is assumed to transform under Lorentz transformations as a four-vector, *i.e.*,

$$J'^\mu(x') = L^\mu{}_\nu J^\nu(x). \quad (20.8)$$

Combining (20.6) and (20.8) then shows that (20.1), which may be written as

$$\partial_\mu J^\mu = 0, \quad (20.9)$$

is invariant under Lorentz transformations, so that electric charge is locally conserved in any inertial frame.

Transformation rules such as (20.8) can be generalized to object that carry several four-vector indices. Such objects are called *tensors*. We will encounter an example of such a tensor shortly.

We may verify the above result by considering a more practical situation, where charge is carried by one type of particle. If at some space-time point the velocity of these particles is $\vec{u}$, then the density n of these particles as measured in the laboratory is related to the density n_0 measured in the instantaneous local rest frame by

$$n = \gamma_u n_0. \quad (20.10)$$

This relation is a direct consequence of the Lorentz contraction of a small volume element. As the volume becomes smaller, the particle density has to increase by the same factor in order that the total number of particles in the volume be the same. We may now express the charge and current density in the laboratory frame as

$$\rho = q \gamma_u n_0, \quad \vec{J} = q \gamma_u n_0 \vec{u}, \quad (20.11)$$

where q is the charge of the particles. This result can be summarized as

$$J^\mu = \frac{q n_0}{m} p^\mu. \quad (20.12)$$

Because m , q and n_0 are invariant under Lorentz transformations, the current J^μ transforms as a regular four-vector, in accord with the conclusion reached above.

Because the charge-current distributions transform as a four-vector, they can be characterized (at least locally, at a given space-time point) in a Lorentz-invariant way, just as the space-time and momentum vectors. For instance, we may have a time-like vector J^μ , which satisfies (at a given space-time point)

$$|\vec{J}|^2 < c^2 \rho^2. \quad (20.13)$$

By means of a Lorentz transformation, we can always bring it into a form where $\vec{J} = 0$ locally. Hence a more appropriate name for this situation is that the current is "charge-like". The most obvious example of this situation is when in some inertial frame, we have charges at rest, so that $\vec{J} = 0$ and ρ is not zero (we assume that the charges do not neutralize each other). Another situation is the "current-like" current, which in some inertial frame corresponds to $\rho = 0$ and $\vec{J} \neq 0$. This happens when we have moving carriers of positive and negative charges which neutralize each other, but still give rise to a nonzero current. Observe that an electrically neutral conductor with a nonzero electric current is no longer electrically neutral in some other inertial frame!

21 Invariance of Maxwell's equations

Before Einstein formulated the special theory of relativity it was known that Maxwell's equations are invariant under Lorentz transformations, albeit that the implications of this fact were only properly assessed much later. We will now prove that the Maxwell equations are indeed consistent with the theory of special relativity. As a result we will obtain the appropriate Lorentz transformations for the electromagnetic fields.

The inhomogeneous Maxwell equations in vacuum are as follows

$$\vec{\nabla} \times \vec{B} - \frac{1}{c^2} \frac{\partial \vec{E}}{\partial t} = \mu_0 \vec{J}, \quad (21.1)$$

$$\vec{\nabla} \cdot \vec{E} = \frac{\rho}{\varepsilon_0}, \quad (21.2)$$

where ε_0 and μ_0 are the permittivity and the permeability in vacuum, respectively. Note that these two quantities are related to the speed of light,

$$c^2 = \frac{1}{\varepsilon_0 \mu_0}. \quad (21.3)$$

In addition there are the two homogeneous equations

$$\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = 0, \quad (21.4)$$

$$\vec{\nabla} \cdot \vec{B} = 0. \quad (21.5)$$

The latter two equations can be solved by expressing $\vec{E}$ and $\vec{B}$ in terms of a scalar and a vector potential, V and $\vec{A}$, respectively

$$\begin{aligned}\vec{E} &= -\vec{\nabla}V - \frac{\partial \vec{A}}{\partial t}, \\ \vec{B} &= \vec{\nabla} \times \vec{A}.\end{aligned}\tag{21.6}$$

It is possible to change the potentials by means of so-called gauge transformations, without affecting the electromagnetic fields $\vec{E}$ and $\vec{B}$. These gauge transformations are

$$\begin{aligned}\vec{A} &\rightarrow \vec{A}' = \vec{A} + \vec{\nabla}\Lambda, \\ V &\rightarrow V' = V - \frac{\partial \Lambda}{\partial t}.\end{aligned}\tag{21.7}$$

Let us now substitute (21.6) into (21.1) and (21.2). Straightforward calculation leads to the following equations

$$\begin{aligned}-\left(\vec{\nabla}^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right) \vec{A} + \vec{\nabla} \left(\vec{\nabla} \cdot \vec{A} + \frac{1}{c^2} \frac{\partial V}{\partial t} \right) &= \mu_0 \vec{J}, \\ -\left(\vec{\nabla}^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right) V - \frac{\partial}{\partial t} \left(\vec{\nabla} \cdot \vec{A} + \frac{1}{c^2} \frac{\partial V}{\partial t} \right) &= \frac{\rho}{\varepsilon_0} = c^2 \mu_0 \rho,\end{aligned}\tag{21.8}$$

As we know that $\vec{J}$ and $c\rho$ should constitute a four-vector, we conclude that the above equations should transform among themselves under Lorentz transformations. In order that the left-hand side of the equations transform in the same way as the right-hand side, we must also combine $\vec{A}$ and V into a four-vector. Indeed, writing

$$A_\mu \equiv (\vec{A}, -c^{-1}V), \quad \text{or} \quad A^\mu \equiv (\vec{A}, c^{-1}V),\tag{21.9}$$

we can easily combine the two equations (21.8) into a single equation,

$$\partial^\nu \partial_\nu A_\mu - \partial_\mu (\partial_\nu A^\nu) = -\mu_0 J_\mu.\tag{21.10}$$

This equation is manifestly covariant under Lorentz transformations. By this we mean that the four equations contained in (21.10) transform among themselves under Lorentz transformations as the components of a four-vector. The four-vector A_μ is also called the vector potential.

It is convenient to define the following antisymmetric tensor,

$$F_{\mu\nu} = c(\partial_\mu A_\nu - \partial_\nu A_\mu).\tag{21.11}$$

in terms of which (21.10) can be written as

$$\partial^\nu F_{\mu\nu} = c\mu_0 J_\mu.\tag{21.12}$$

The tensor $F_{\mu\nu}$ is invariant under gauge transformations (21.7), which, in four-vector notation, read

$$A_\mu \rightarrow A'_\mu = A_\mu + \partial_\mu \Lambda.\tag{21.13}$$

The fact that $F_{\mu\nu}$ contains precisely six independent gauge invariant components, which are expressed in terms of first-order derivatives of the vector potential, indicate that this tensor is closely related to the magnetic and electric fields, $\vec{B}$ and $\vec{E}$. Indeed, the three components

$$F_{ij} = c(\partial_i A_j - \partial_j A_i) , \quad (21.14)$$

coincide with the components of the magnetic field. More precisely

$$F_{12} = -F_{21} = c B_3, \quad F_{13} = -F_{31} = -c B_2, \quad F_{23} = -F_{32} = c B_1 . \quad (21.15)$$

The remaining components,

$$F_{i0} = -F_{0i} = c(\partial_i A_0 - \partial_0 A_i) = -\frac{\partial V}{\partial x^i} - \frac{\partial A_i}{\partial t} \quad (21.16)$$

are just equal to the electric field, i.e.,

$$F_{i0} = -F_{0i} = E_i . \quad (21.17)$$

Often one writes $F_{\mu\nu}$ as a 4×4 matrix, which thus takes the form

$$F_{\mu\nu} = \begin{pmatrix} 0 & cB_3 & -cB_2 & E_1 \\ -cB_3 & 0 & cB_1 & E_2 \\ cB_2 & -cB_1 & 0 & E_3 \\ -E_1 & -E_2 & -E_3 & 0 \end{pmatrix} . \quad (21.18)$$

Also the homogeneous Maxwell equations can be written in manifestly covariant form. They read

$$\partial_\mu F_{\nu\rho} + \partial_\nu F_{\rho\mu} + \partial_\rho F_{\mu\nu} = 0 . \quad (21.19)$$

Observe that (21.19) is fully antisymmetric in three indices. Therefore (21.19) comprises four equations. Choosing $[\mu\nu\rho] = [ijk]$ leads to (21.5), while $[\mu\nu\rho] = [0ij]$ gives rise to (21.4).

From the fact that space-time derivatives and the vector potential transform as four-vectors under Lorentz transformations, we derive the transformation rules for $F_{\mu\nu}$. We find,

$$F'_{\mu\nu}(x') = (L^{-1})^\rho{}_\mu (L^{-1})^\sigma{}_\nu F_{\rho\sigma}(x) . \quad (21.20)$$

In order to evaluate this expression it is convenient to write it in matrix form,

$$F' = (L^{-1})^T F L^{-1} . \quad (21.21)$$

Let us evaluate this expression for a Lorentz transformation with the corresponding velocity parameter $\vec{v}$ directed along the x^3 -axis. The matrix $L^\mu{}_\nu$ for this transformation is

$$L^\mu{}_\nu = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \gamma & -\gamma\beta \\ 0 & 0 & -\gamma\beta & \gamma \end{pmatrix}, \quad (21.22)$$

Substituting (21.18) and (21.22) into (21.21) results leads to the following result for $F'_{\mu\nu}$,

$$F'_{\mu\nu} = \begin{pmatrix} 0 & cB_3 & -\gamma(cB_2 - \beta E_1) & \gamma(E_1 - \beta cB_2) \\ -cB_3 & 0 & \gamma(cB_1 + \beta E_2) & \gamma(E_2 + \beta cB_1) \\ \gamma(cB_2 - \beta E_1) & -\gamma(cB_1 + \beta E_2) & 0 & E_3 \\ -\gamma(E_1 - \beta cB_2) & -\gamma(E_2 + \beta cB_1) & -E_3 & 0 \end{pmatrix}. \quad (21.23)$$

It thus follows that the magnetic and electric fields have changed according to

$$\begin{aligned} cB'_1 &= \gamma(cB_1 + \beta E_2), & E'_1 &= \gamma(E_1 - \beta cB_2), \\ cB'_2 &= \gamma(cB_2 - \beta E_1), & E'_2 &= \gamma(E_2 + \beta cB_1), \\ cB'_3 &= cB_3, & E'_3 &= E_3, \end{aligned} \quad (21.24)$$

We can cast this result back in vector notation and obtain the more general result for a Lorentz transformation of the magnetic and electric fields,

$$\begin{aligned} \vec{B}'_{\parallel}(x') &= \vec{B}_{\parallel}(x), & \vec{E}'_{\parallel}(x') &= \vec{E}_{\parallel}(x), \\ \vec{B}'_{\perp}(x') &= \gamma\left(\vec{B}_{\perp}(x) - \frac{1}{c^2}\vec{v} \times \vec{E}(x)\right), & \vec{E}'_{\perp}(x') &= \gamma\left(\vec{E}_{\perp}(x) + \vec{v} \times \vec{B}(x)\right), \end{aligned} \quad (21.25)$$

Just as for four-vectors there are Lorentz-invariant bilinears of the tensor $F'_{\mu\nu}$. The following two expressions bilinear in the magnetic and electric field components are indeed invariant,

$$\vec{E}^2 - c^2 \vec{B}^2 \quad \text{and} \quad \vec{E} \cdot \vec{B}, \quad (21.26)$$

as can be verified by explicit computation. To do this, we first note the vector identities

$$\begin{aligned} (\vec{v} \times \vec{E}) \cdot (\vec{v} \times \vec{B}) &= \vec{v}^2 (\vec{E}_{\perp} \cdot \vec{B}_{\perp}), \\ \vec{E} \cdot (\vec{v} \times \vec{B}) &= -\vec{B} \cdot (\vec{v} \times \vec{E}), \end{aligned} \quad (21.27)$$

which hold for any three vectors $\vec{v}$, $\vec{E}$ and $\vec{B}$. Substituting the transformation rules (21.25) into the quantities (21.26) and making use of the two identities (21.27) then shows that these quantities remain unchanged under Lorentz transformations. This result can also be derived in four-component notation, by virtue of the identities

$$F_{\mu\nu} F^{\mu\nu} = -2 \left(\vec{E}^2 - c^2 \vec{B}^2 \right), \quad \epsilon^{\mu\nu\rho\sigma} F_{\mu\nu} F_{\rho\sigma} = -8c \vec{E} \cdot \vec{B}, \quad (21.28)$$

where $\epsilon^{\mu\nu\rho\sigma}$ is the fully antisymmetric Levi-Civita symbol, which is equal to 1 if $[\mu\nu\rho\sigma]$ is an even permutation of $[0123]$, to -1 if $[\mu\nu\rho\sigma]$ is an odd permutation of $[0123]$, and to 0 otherwise.

22 Electromagnetic forces acting on a point charge

Now that we have determined how the electromagnetic fields transform under Lorentz transformations, we should verify that the forces derived from these fields transform in accordance with the result established earlier. In principle, this is a straightforward exercise. Consider, for instance, a point charge q moving with velocity $\vec{u}$ in an electromagnetic field. The charge feels a force equal to

$$\vec{F} = q \left(\vec{E} + \vec{u} \times \vec{B} \right). \quad (22.1)$$

With the help of (21.18) one readily verifies that this can be written as (in components)

$$F_i = q \left(F_{i0} + c^{-1} F_{ij} u_j \right), \quad (22.2)$$

where F_{i0} and F_{ij} are the components of the tensor $F_{\mu\nu}$ with indices 0 and $i, j = 1, 2, 3$ (to be distinguished from the components of the force vector $\vec{F}$ on the left-hand side of (22.2)). This result takes the following form when expressed in terms of the momentum, rather than the velocity of the particle,

$$F_i = \frac{q}{m c \gamma_u} F_{i\mu} p^\mu. \quad (22.3)$$

In this expression we note the presence of the first three components of a four-vector K_μ , defined by

$$K_\mu \equiv F_{\mu\nu} p^\nu, \quad (22.4)$$

which satisfies the condition

$$p^\mu K_\mu = 0, \quad (22.5)$$

by virtue of the antisymmetry of the tensor $F_{\mu\nu}$. Written in components, (22.5) reads

$$p^0 K_0 + p^i K_i = 0, \quad \text{or} \quad K_0 = -\frac{\vec{p} \cdot \vec{K}}{p^0} = -\frac{1}{c} \vec{u} \cdot \vec{K}. \quad (22.6)$$

Under Lorentz transformations K_μ transforms as a four-vector, as it is constructed from the product of two quantities that each transform covariantly, by means of a summation over an upper and a lower index. For our purpose, the relevant transformations are

$$\begin{aligned}\vec{K}'_{\parallel} &= \gamma_v \left(\vec{K}_{\parallel} + K_0 \frac{\vec{v}}{c} \right), \\ \vec{K}'_{\perp} &= \vec{K}_{\perp},\end{aligned}\tag{22.7}$$

where the plus sign in the first equation is related to the fact that we transform a four-vector with *lower* indices. Substituting (22.6) into (22.7) gives

$$\begin{aligned}\vec{K}'_{\parallel} &= \gamma_v \left(\vec{K}_{\parallel} - \frac{\vec{v}}{c^2} (\vec{u} \cdot \vec{K}) \right), \\ \vec{K}'_{\perp} &= \vec{K}_{\perp},\end{aligned}\tag{22.8}$$

Now we have to take into account the factor γ_u^{-1} in (22.3), which transforms according to

$$\gamma_{u'} = \gamma_u \gamma_v \left(1 - \frac{\vec{u} \cdot \vec{v}}{c^2} \right).\tag{22.9}$$

Combining (22.8) and (22.9) then shows that $\vec{F}$ as defined in (22.1) transforms indeed in accordance with (17.8).

23 Electromagnetic fields generated by a moving point charge

As an application of the transformation rules for magnetic and electromagnetic fields, we consider a point charge moving q at uniform velocity $\vec{v}$. We assume that the point charge is located in the origin of its rest frame $\mathcal{S}'$. In this frame the electromagnetic fields are equal to

$$\vec{E}'(\vec{r}', t') = \frac{q}{4\pi \varepsilon_0} \frac{\vec{r}'}{r'^3}, \quad \vec{B}'(\vec{r}', t') = 0,\tag{23.1}$$

where $\vec{r}'$ denotes the position vector in $\mathcal{S}'$. At time $t' = 0$ the observer is closest to the point charge. We assume that at $t' = 0$ the origin of $\mathcal{S}'$ coincides with the origin of the rest frame of the observer, denoted by $\mathcal{S}$. Note that the observer is not located at the origin of $\mathcal{S}'$. In $\mathcal{S}$ the space-time coordinates are $\vec{r}$ and t . They are related to the space-time coordinates of $\mathcal{S}'$ in the following manner,

$$\begin{aligned}\vec{r}'_{\parallel} &= \gamma_v (\vec{r}_{\parallel} - \vec{v} t), & \vec{r}'_{\perp} &= \vec{r}_{\perp}, \\ t' &= \gamma_v \left(t - \frac{\vec{v} \cdot \vec{r}}{c^2} \right).\end{aligned}\tag{23.2}$$

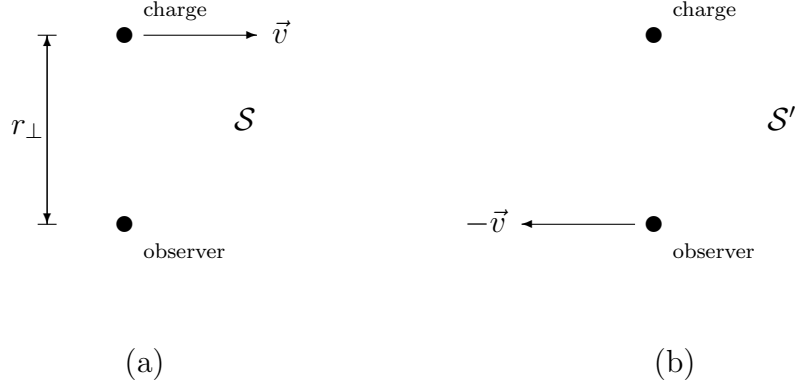


Figure 15: A point charge moving with velocity $\vec{v}$ as seen in the rest frame $\mathcal{S}$ of an observer and in the rest frame $\mathcal{S}'$ of the charge. The position of the charge in $\mathcal{S}$ at $t = 0$, and of the observer in $\mathcal{S}'$ at $t' = 0$ is indicated. Note that the smallest distance between the observer and the charge is the same in both frames and given by $|\vec{r}_\perp| = |\vec{r}'_\perp|$.

From now on let $\vec{r}$ and t , and $\vec{r}'$ and t' denote the space-time coordinates of the observer in $\mathcal{S}$ and $\mathcal{S}'$, respectively. Therefore we have

$$\begin{aligned} \vec{r}_\parallel &= 0, & \vec{r}'_\perp &= \vec{r}_\perp, \\ \vec{r}'_\parallel &= -\gamma_v \vec{v} t = -\vec{v} t', & t' &= \gamma_v t. \end{aligned} \quad (23.3)$$

Now let us apply the transformation rules (21.25) to determine the fields in $\mathcal{S}$. For the components parallel to $\vec{v}$, one finds

$$\vec{E}'_\parallel(\vec{r}', t') = \frac{q}{4\pi \varepsilon_0} \frac{\vec{r}'_\parallel}{(\vec{r}'_\perp{}^2 + \vec{r}'_\parallel{}^2)^{3/2}} = \vec{E}_\parallel(\vec{r}, t), \quad \vec{B}'_\parallel(\vec{r}', t') = 0 = \vec{B}_\parallel(\vec{r}, t). \quad (23.4)$$

This result must be expressed in terms of the coordinates $\vec{r}$ and t . Substitution of (23.3) gives

$$\vec{E}_\parallel(\vec{r}, t) = \frac{q}{4\pi \varepsilon_0} \frac{-\gamma_v \vec{v} t}{(\vec{r}_\perp{}^2 + \gamma_v^2 v^2 t^2)^{3/2}}, \quad \vec{B}_\parallel(\vec{r}, t) = 0. \quad (23.5)$$

The determination of the field in directions perpendicular to $\vec{v}$ requires a little more work. Using again (21.25) we have

$$\begin{aligned} \vec{B}'_\perp(\vec{r}', t') &= 0 = \gamma_v \left(\vec{B}_\perp(\vec{r}, t) - \frac{1}{c^2} \vec{v} \times \vec{E}(\vec{r}, t) \right), \\ \vec{E}'_\perp(\vec{r}', t') &= \frac{q}{4\pi \varepsilon_0} \frac{\vec{r}'_\perp}{(\vec{r}'_\perp{}^2 + \vec{r}'_\parallel{}^2)^{3/2}} = \gamma_v \left(\vec{E}_\perp(\vec{r}, t) + \vec{v} \times \vec{B}(\vec{r}, t) \right). \end{aligned} \quad (23.6)$$

From the first equation it follows that

$$\vec{B}_\perp(\vec{r}, t) = \frac{1}{c^2} \vec{v} \times \vec{E}(\vec{r}, t). \quad (23.7)$$

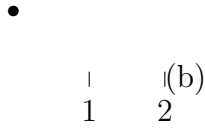


Figure 16: The magnetic field lines and the transverse components of the electric field lines generated by a point charge moving at constant velocity.

This yields

$$\vec{v} \times \vec{B} = -\frac{v^2}{c^2} \vec{E}_\perp. \quad (23.8)$$

Substituting this result into the second equation of (23.6) gives

$$\vec{E}_\perp(\vec{r}, t) = \frac{q}{4\pi \varepsilon_0} \frac{\gamma_v \vec{r}_\perp}{(\vec{r}_\perp^2 + \gamma_v^2 v^2 t^2)^{3/2}}, \quad (23.9)$$

where we have again used (23.3) to write the field in terms of $\vec{r}$ and t . Combining (23.7) and (23.9) gives the following expression for the magnetic field,

$$\vec{B}(\vec{r}, t) = \frac{q \mu_0}{4\pi} \frac{\gamma_v \vec{v} \times \vec{r}}{(\vec{r}_\perp^2 + \gamma_v^2 v^2 t^2)^{3/2}}, \quad (23.10)$$

where we have used (21.3). For small velocities, this result is in agreement with the Biot-Savart law.

Finally, we should point out that the above results can also be obtained directly in the rest frame of the observer by solving Maxwell's equations. However, this derivation is more complicated because of the effect of retardation. The fields observed at a time t are not related to the position of the charge at time t , but to its position at some earlier time, just because the electromagnetic fields propagate with the velocity of light. Therefore it takes a certain amount of time before the fields reach the observer. Retardation is the reason why the terms in the denominators of the fields $\vec{E}$ and $\vec{B}$ have such a conspicuous form.

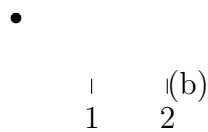


Figure 17: The magnitude of the electric field components generated by a point charge moving at uniform velocity as a function of time. The magnitude of the magnetic field $\vec{B}$ shows the same shape as $\vec{E}_\perp$, as follows from (23.7). The solid lines refer to low velocity, $v \ll c$, the dashed lines to high velocity, $v \approx c$.

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